



SI-DRIVE: General Assembly (4) Status of the Project – Results and Impact

General Assembly, 4th Meeting
TU Dortmund
6th and 7th of April 2017

Jürgen Howaldt/Antonius Schröder (TUDO)



Content

1. Starting Point of SI-DRIVE

2. Where we are

- Sound Theoretical Framework
- First Empirical Phase: Global Mapping
- Second Empirical Phase: Case Studies

3. What is to be Done





“The tracks of international research on innovation demonstrate that the technology-oriented paradigm – shaped by the industrial society – does not cover the broad range of innovations indispensable in the transition from an industrial to a knowledge and services-based society: Such fundamental societal changes require the inclusion of social innovations in a paradigm shift of the innovation system.”

Vienna Declaration: The most relevant topics in social innovation research

SI-DRIVE General Assembly (4)

“Although social innovations pop up in many areas and policies and in many disguises, and social innovation is researched from a number of theoretical and methodological angles, **the conditions under which social innovations develop, flourish and sustain and finally lead to societal change are not yet fully understood both in political and academic circles.**”

Jenson/Harrisson

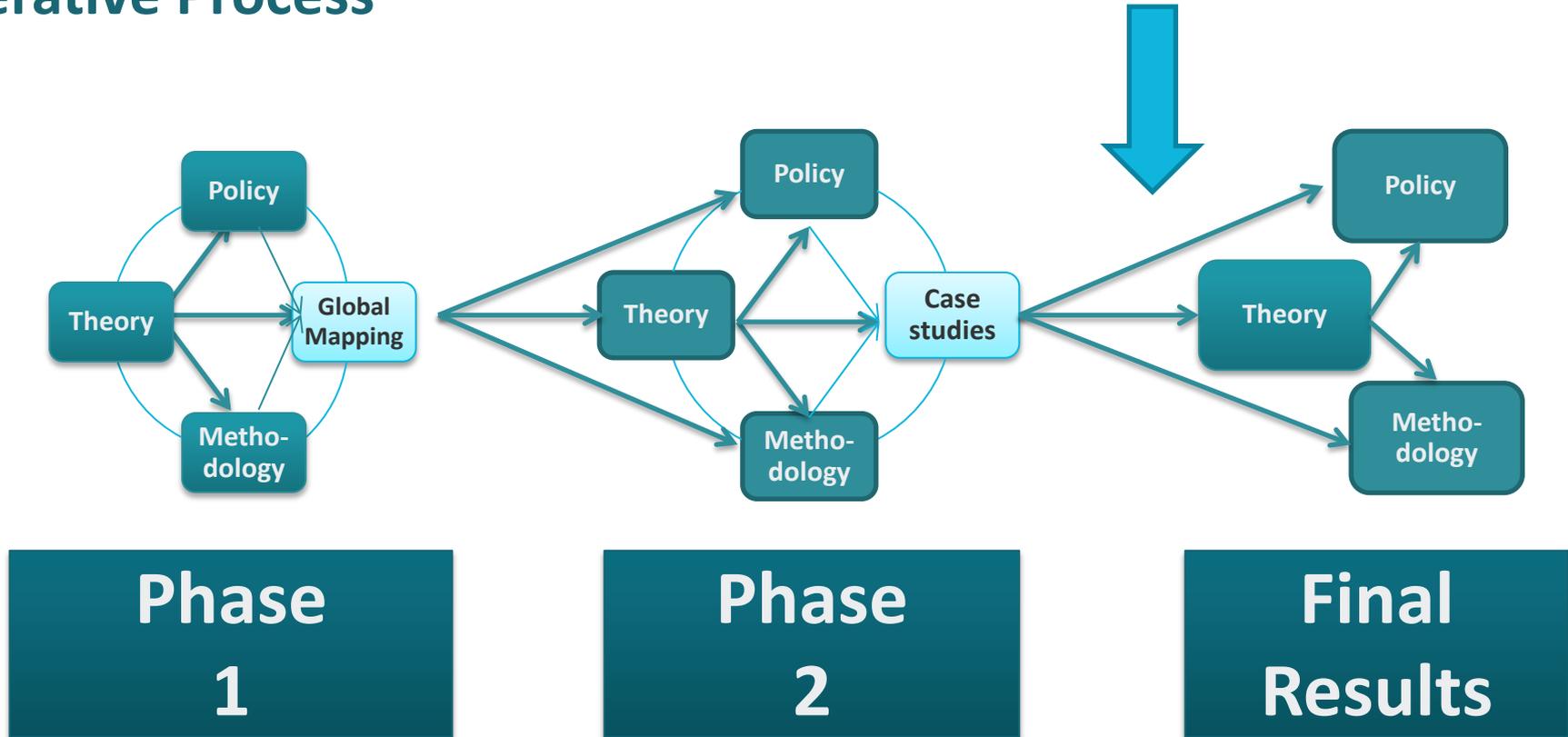


Mission: Extending knowledge about Social Innovation as a driver of social change

- Integrating theories and research methodologies **to advance understanding of SI** leading to a comprehensive new paradigm of innovation.
- Undertaking **European and global mapping** of SI, thereby addressing different social, economic, cultural, historical and religious contexts in eight major world regions.
- Ensuring relevance for policy makers and practitioners through in-depth analyses and case studies in seven **policy fields**, with cross European and world region comparisons, foresight and policy round tables.

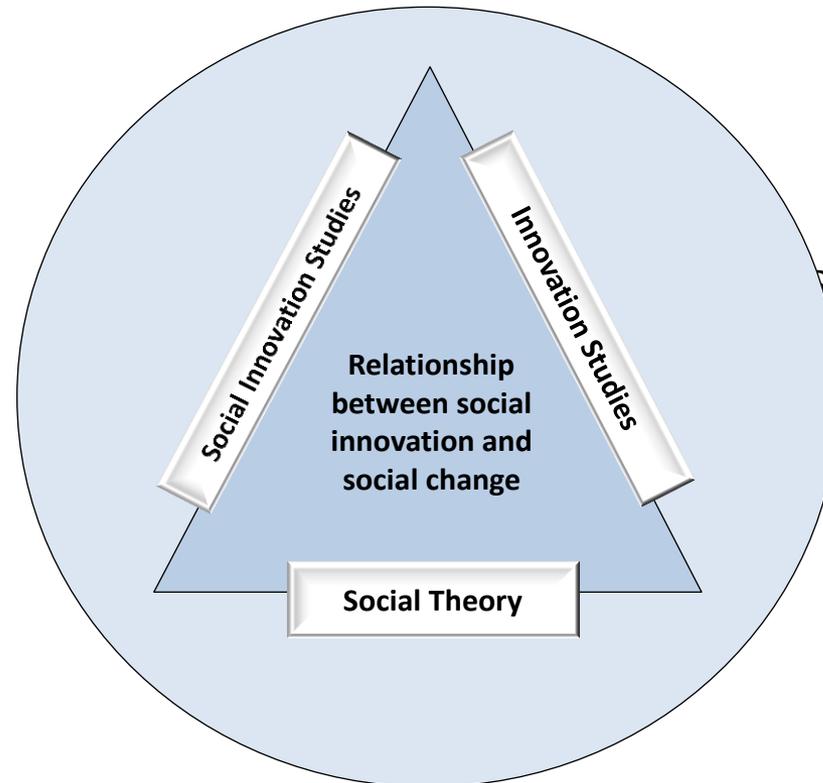
Where we are

Iterative Process



Building Blocks towards a theory of social innovation

Social
Entrepreneurship,
Social Economy,
Local and Regional
Development,
Design Thinking,
(History of Social
Innovation)



Innovation Systems,
Transition research,
STS, Business Innovation

Theories of Social Change, Practise
Theory, Development Theories

Towards a Comprehensive Understanding of Social Innovation (Theoretical Framework)

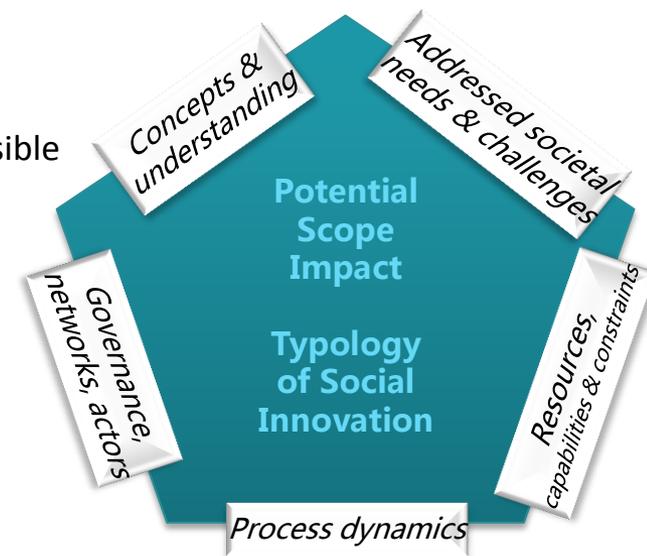
Definition: Social innovation ...

- is focusing on social needs, co-creation, cross-sector fertilization, network-based learning, and governance
- as a new combination or new configuration of social practices
- developed in an intentional targeted manner with the goal of better satisfying or answering needs and problems than is possible on the basis of established practices
- may finally be institutionalized.

Three interrelated levels:

- macro level of **policy fields** and **social change**
- meso level of **practice fields** (combining similar projects/initiatives)
- micro level of **projects/initiatives** related to a practice field

The Five Key Dimensions of Social Innovation



First Empirical Phase

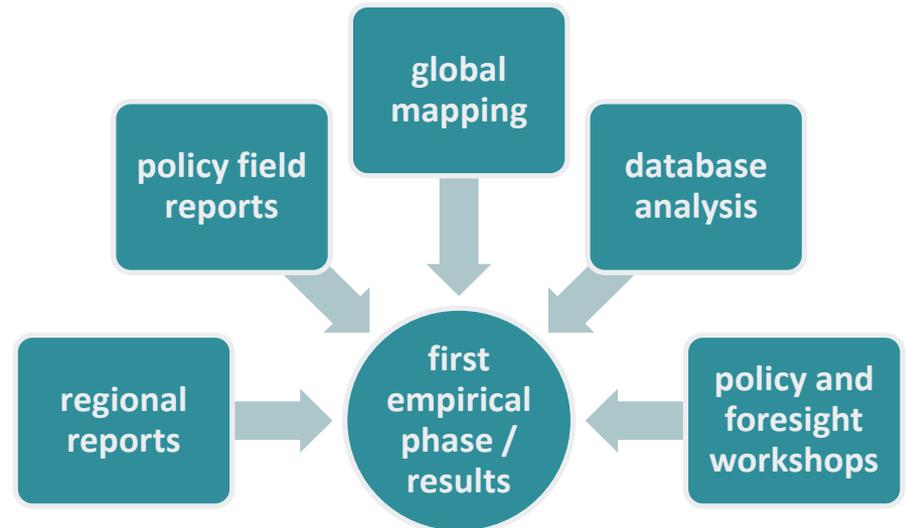
Mapping:

A first global database of more than 1.000 cases is generated!

Combining Quantitative and Qualitative Research Baseline Mapping Activities (Empirical Phase 1)

The first empirical phase (baseline mapping) is consisting of four elements:

1. Regional reports
2. Policy field reports
3. Global Mapping
(Database of 1.000+ SI cases)
4. Social Innovation Database
Screening



5. Explorative Policy and Foresight Workshops

Seven Policy Fields Reports – State of the Art

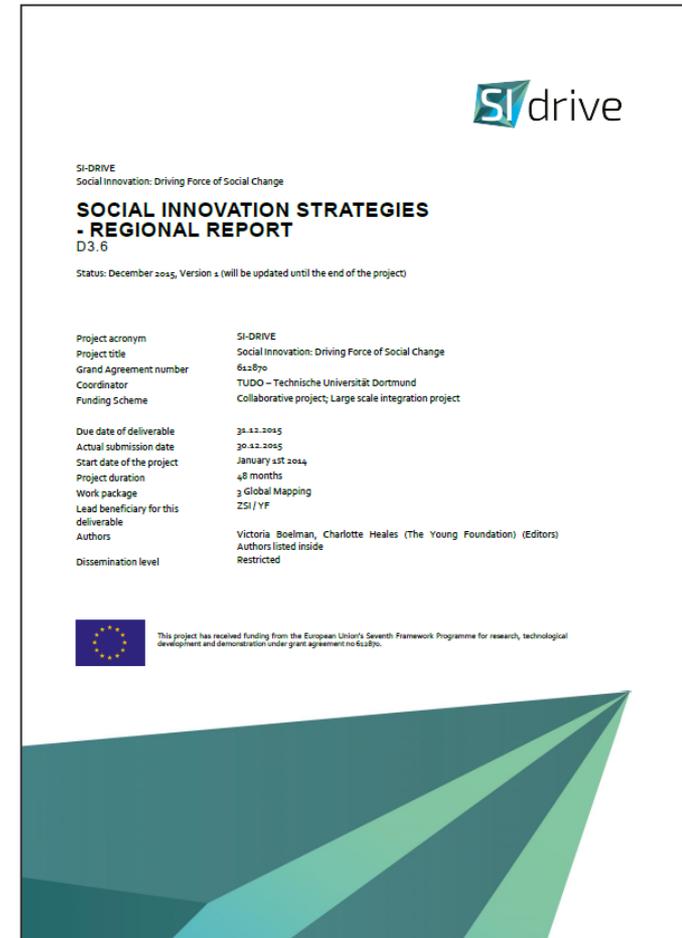
- (1) Education
- (2) Employment
- (3) Environment and climate change
- (4) Energy
- (5) Transport and mobility
- (6) Health and social care
- (7) Poverty reduction and sustainable development

Summaries are available:
www.si-drive.eu



Regional Report

- Defining social innovation
Concepts and understanding
- Social innovation in practice
Social needs, challenges and opportunities driving social innovation
Social innovation strategies and processes
Governance, networks and actors in social innovation
Resources, capabilities and constraints
- The impact of social innovation
Relationship to social change
- Conclusions
Social innovation today in the region
The future of social innovation in the region



The image shows the cover page of the 'SOCIAL INNOVATION STRATEGIES - REGIONAL REPORT' (D3.6). It features the SI drive logo at the top right. The title is prominently displayed in the center. Below the title, there is a status line indicating the report is from December 2015 and will be updated until the end of the project. A detailed table of project information follows, including the project acronym (SI-DRIVE), title, grand agreement number (612870), coordinator (TUDO - Technische Universität Dortmund), and funding scheme (Collaborative project; Large scale integration project). The table also lists key dates such as the due date of the deliverable (31.12.2015) and the start date of the project (January 2014), along with project duration (48 months) and work package (3 Global Mapping). The lead beneficiary (ZSI / YF) and authors (Victoria Boelman, Charlotte Heales) are also listed. At the bottom left, there is a European Union flag logo and a text box stating that the project has received funding from the European Union's Seventh Framework Programme for research, technological development and demonstration under grant agreement no.612870.

SI drive

SI-DRIVE
Social Innovation: Driving Force of Social Change

**SOCIAL INNOVATION STRATEGIES
- REGIONAL REPORT**
D3.6

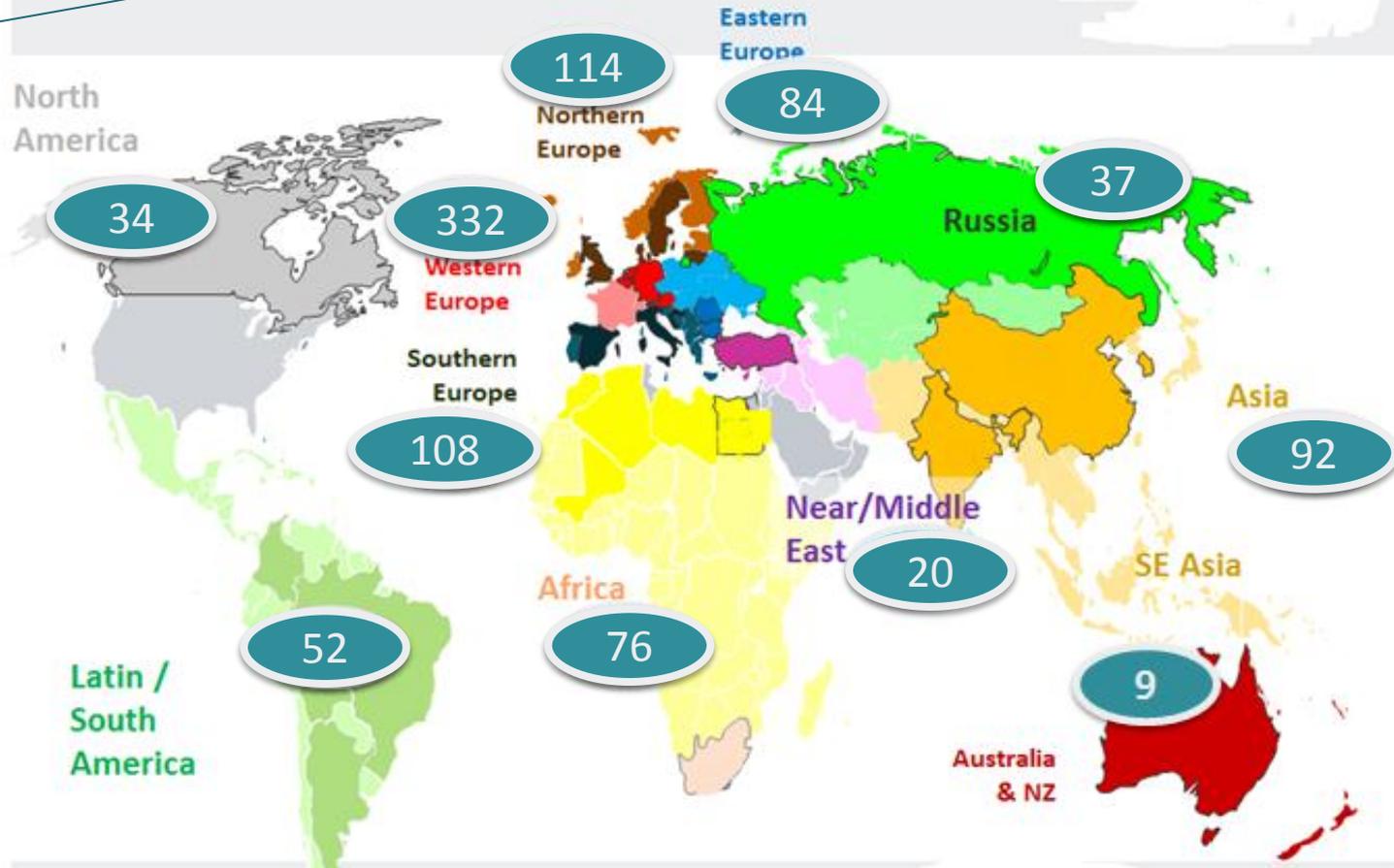
Status: December 2015, Version 1 (will be updated until the end of the project)

Project acronym	SI-DRIVE
Project title	Social Innovation: Driving Force of Social Change
Grand Agreement number	612870
Coordinator	TUDO – Technische Universität Dortmund
Funding Scheme	Collaborative project; Large scale integration project
Due date of deliverable	31.12.2015
Actual submission date	30.12.2015
Start date of the project	January 2014
Project duration	48 months
Work package	3 Global Mapping
Lead beneficiary for this deliverable	ZSI / YF
Authors	Victoria Boelman, Charlotte Heales (The Young Foundation) (Editors) Authors listed inside
Dissemination level	Restricted

 This project has received funding from the European Union's Seventh Framework Programme for research, technological development and demonstration under grant agreement no.612870.

1.005 SI Cases all over the world

Region, where the initiative was implemented



47 Cases N/A

Global Mapping: The Main Results at a Glance

1. Social needs and societal challenges are the focus and driver
2. Social innovations in a sense of new practices appear in a variety of forms and concepts and high dynamics
3. Manifold actors and cross sector collaborations are the emerging backbone
4. Empowerment and user involvement are a core element
5. Complexity of the innovation processes needs different modes of governance
6. Emerging ecosystems in front
7. Different levels of intervention and analysis are necessary
8. Practice Field approach helps to combine social innovations
9. Resources and barriers are manifold: Framework conditions and enabling factors still need to be developed
10. Social Innovation Initiatives - driven by problems and depending on individuals



Theory Report 2

Social Innovation and Social Change

Content

- 1 Summarizing the results of the different approaches of SI research (CLR) with a focus on the relationship of SI and SC
- 2 Overview over the general debate on SI and SC in the Social Sciences
- 3 Verifying specific approaches and their possible contributions for a theoretical sound understanding of SI and SC
- 4 Summary and Conclusion

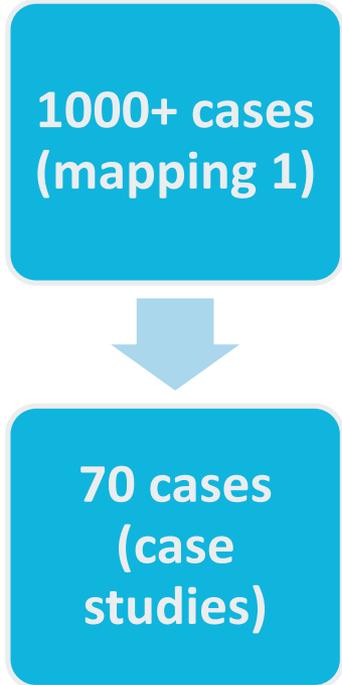
Two main empirical phases

Mapping 1 - baseline mapping of social innovation (state of the art reports, report of regional strategies, selection of 1.000 and more cases for a SI database)

- **1,005 cases all over the world**

Mapping 2 (case studies) - typology of social innovation (using mixed method research protocol consisting of detailed interviews, extensive status check, follow up surveys, and Qualitative Comparative Analysis QCA, 70 cases)

- **82 case studies within seven policy fields**



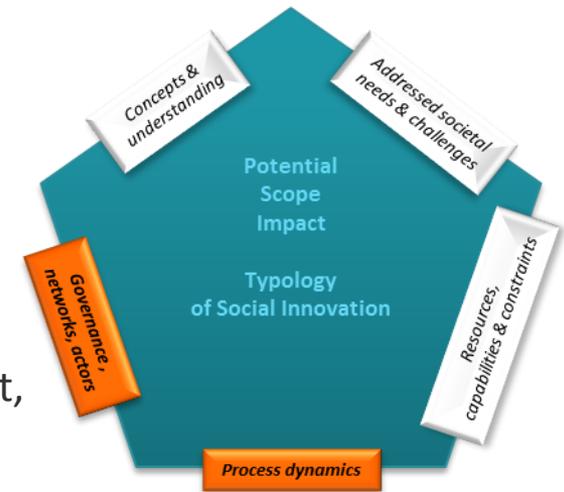
1000+ cases
(mapping 1)

70 cases
(case
studies)

Focus of the Case Studies

Dynamic interrelation between social innovation, the practice field and various mechanisms of social change

- **Mechanisms and degree of social change:** diffusion in society, degree of institutionalisation, and importance of the practice field and initiative for everyday life and local communities
- **Processes and dynamics** of social innovation in relation to social change (institutionalisation, diffusion and imitation of social practices)
- **Functions and roles of actors and networks** for the development, diffusion, imitation and institutionalisation of social innovations
- Identification of **critical success (and failure) factors**, leading to social change.



Mechanisms of social change:

Learning, Variation, Selection, Conflict, Competition, Cooperation, Tension and Adaptation, Diffusion, Planning and Institutionalisation of Change

Main Methodological Challenges

1. The transfer of theoretical hypotheses or frameworks to an empirical sound measurement, *interrelation of theory and empiricism*.
 - Solution: cyclical approach, iteration loops leading to a common understanding
2. The *limited outrange* of using only quantitative or qualitative methods
 - Solution: combining quantitative and qualitative methods
3. *A different understanding* of the subject of investigation (social innovation as a ubiquitous concept)
 - Solution: overarching but clear definition of social innovation, giving leeway to different policy and geographical/cultural contexts
4. The unknown main unit or basic population
 - Solution: explorative reflection of the broad understanding of social innovation, selection of cases through the involved experts

Case Studies (Policy Fields)

	Cases	Practice Fields
Education	18	Disadvantaged groups, new learning arrangements, new digital environments, quality improvement, partnership education and economy
Employment	10	Youth unemployment and vulnerable groups, social entrepreneurship, workplace innovation
Environment	10	Circular Economy, Alternative sustainable food production and distribution, Alternative sustainable food production and distribution, smart city context
Energy Supply	7	Energy collectives, Providing examples and inspiration, Local production of energy
Transport and Mobility	9	Car-sharing, mobility of vulnerable groups
Health and Social Care	15	Shift in care location, self-management, Integrated care delivery, E-health, m-health, New models of care
Poverty Reduction	13	Micro financing & safety nets, community capacity building & advocacy, displacement & refugees
Total	82	

Seven Policy Field Case Study Reports

- (1) Education
- (2) Employment
- (3) Environment and climate change
- (4) Energy
- (5) Transport and mobility
- (6) Health and social care
- (7) Poverty reduction and sustainable development



SI-DRIVE
Social Innovation: Driving Force of Social Change

SOCIAL INNOVATION IN ENVIRONMENT AND CLIMATE CHANGE: CASE STUDY RESULTS
POLICY FIELD ENVIRONMENT AND CLIMATE CHANGE
D6.5
January 2017

Project acronym	SI-DRIVE
Project title	Social Innovation: Driving Force of Social Change
Grant Agreement number	612870
Coordination	TUDD - Technische Universität Dortmund
Funding Scheme	Collaborative project; Large scale integration project
Due date of deliverable	01/2017
Actual submission date	01/2017
Start date of the project	1 st January 2014
Project duration	48 months
Work package	WP 6 Environment and Climate Change
Lead beneficiary for this deliverable	AIT
Authors	Doris Schertinger, Beatrix Wagner, Thomas Andersson, Gunnar Abbas, Desislava Asenova, Zoya Danilanova, Adriana Dinova, Violet Arltun, Cheri Hansson, Sentaer Eker, Antonia Schöderl, Marika Zlotoloff
Dissemination level	Public (P)

 This project has received funding from the European Union's Seventh Framework Programme for research, technological development and demonstration under grant agreement no 612870.

European Policy Briefs

- (1) Education
- (2) Employment
- (3) Environment and climate change
- (4) Energy
- (5) Transport and mobility
- (6) Health and social care
- (7) Poverty reduction and sustainable development
- (8) International Policy Round Table

➤ European Parliament Briefing



Policy Recommendations (1st International Policy Round Table)

Central questions	Conclusions of the workshop
Aims of SI	Local start of SI, multiple trajectories. Upscaling is not neutral: policy makers need flexible and adaptive approach. Current times are challenging for such an approach.
Policy approach needed	Policy needs to follow, not direct. Policy should insist on making SI 'responsible' for their plans.
Impact of SI & Societal support needed	A comprehensive, broad approach is needed, aligned with the needs of the different policy fields.
International differences	SI are context specific with different relations between civil society, public and private actors. The different relationships in the global context require different balances. Long-term policy approaches are needed for understanding and right support.

Second Round of Policy and Foresight Workshops (with external experts, Febr./March 2017)

(1) Education (Dortmund)

(2) Employment (Leiden)

**(3) Environment and climate change
(Vienna)**

(4) Energy (Leiden)

(5) Transport and mobility (Gelsenkirchen)

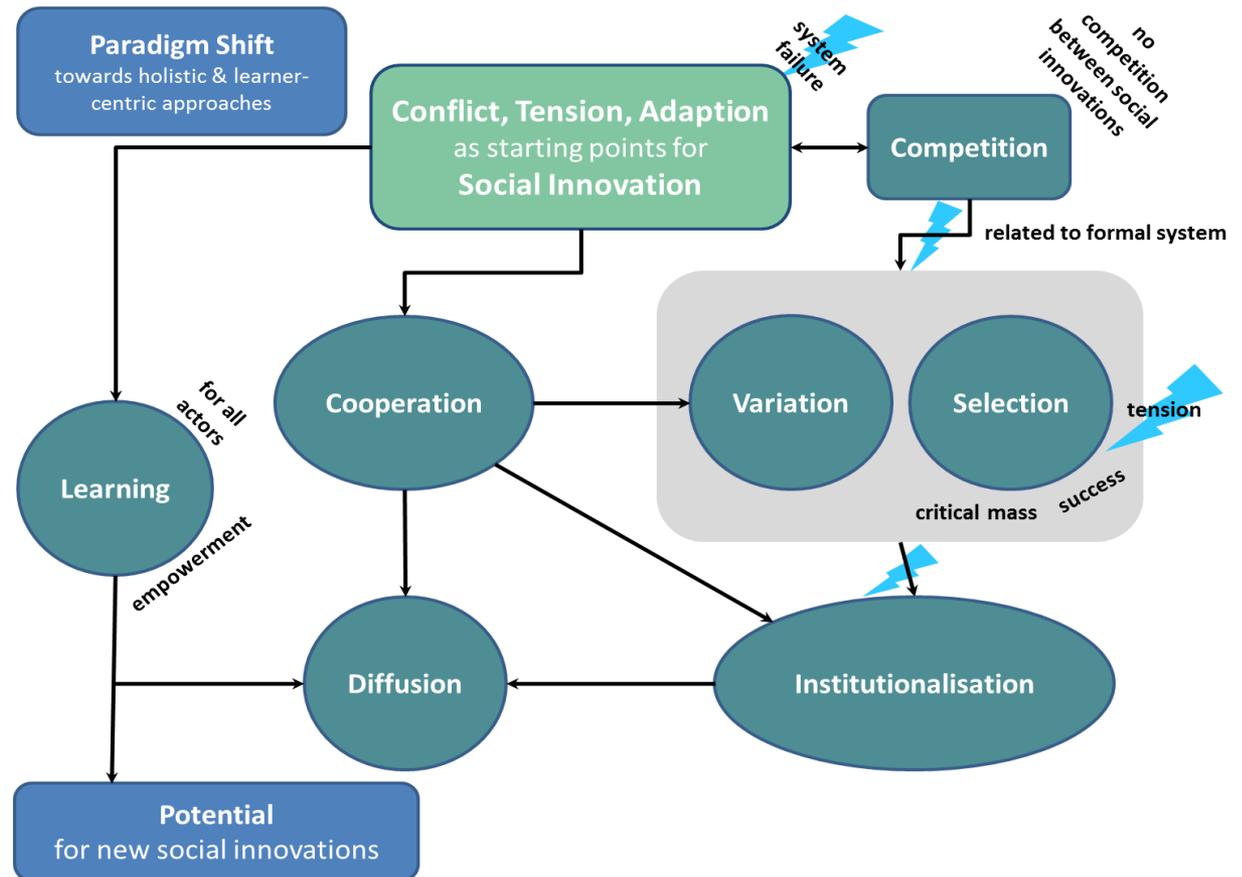
(6) Health and social care (London)

(7) Poverty reduction and sustainable development (London)



Mechanisms of Social Change (Policy Workshop Education)

- Learning
- Variation
- Selection
- Conflict
- Competition
- Cooperation
- Tension and adaptation
- Diffusion of (technological) innovations
- Planning and institutionalisation of change



SI-DRIVE:

Outcomes

New Outcomes (since Vienna Conference)

WP 1: Theory

- Social Innovation and its Relationship to Social Change
Verifying existing Social Theories in reference to Social Innovation and its Relationship to Social Change (Deliverable 1.3)
- Comparative Analysis (Mapping 1) - Mapping the World of Social Innovation: A Global Comparative Analysis across Sectors and World Regions (Deliverable 1.4)

WP 2/3: Methodology / Global Mapping

- Social Innovation Strategies: Regional report (deliverable 3.6)
- Expanded Guidelines for Round Table and Foresight Workshops (Deliverable 2.3)
- Mapping of 1.005 cases: Database generation and statistical analysis
- Case study survey and reporting template, reporting structure
- QCA questionnaire

WP 4-10: Policy Fields

- Mapping of 1.005 cases
- 82 case studies
- 7 policy field case study reports

New Outcomes (since Vienna Conference)

WP 11: Policy and Foresight

- Policy framework for social innovation (Deliverable 11.1)
- 1st Policy and Foresight Workshop Round (7 workshops, each policy field) (Deliverable 11.3)
- 1st International Policy and Foresight Round Table (Deliverable 11.4)
- 2nd Policy and Foresight Workshop Round (7 workshops, each policy field) (Deliverable 11.5)

WP 12: Dissemination

- Presentations on international, European and national conferences
- Several publications, articles
- Two fora: Theory Building and Policy Field Platforms (existing, but not living!)
- eNewsletters (No. 8 to 13) (Deliverable 12.2)

WP 13: Management

- Amendment request 1 confirmed
- 1st period reporting
- Second payment
- 2nd period reporting
- Changes (deadline extensions, key personnel changes, ...)

SI-DRIVE:

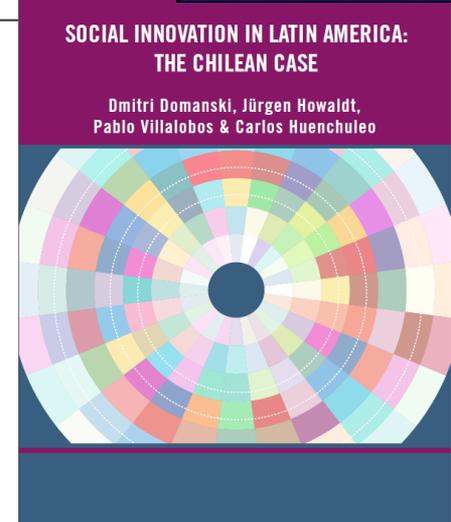
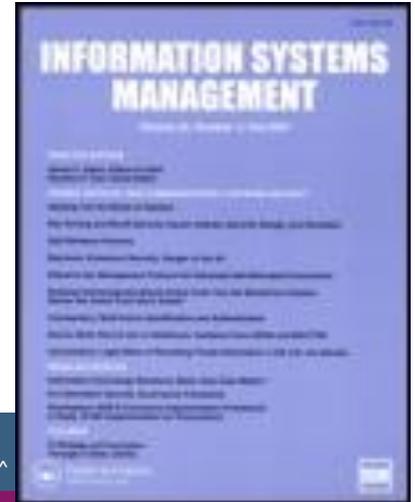
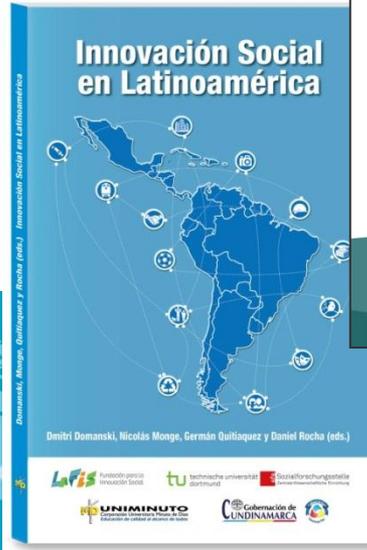
Worldwide Active in Science and Policy - Networking, Events and Publications

SI-DRIVE: Driving Social Innovation Research and Policy on a Global, European and National Level

SI-DRIVE: Important driver of

- Improving the **theoretical ground** for Social Innovation, with a special focus on Social Innovation **leading to Social Change**
- Giving **impulse** by publications, workshops and conferences
- Building a **worldwide Social Innovation Community**

Publications



Co-operation with other Projects/Programmes



- **Intensive cooperation with SI projects** within the 7 Framework / HORIZON 2020:
 - more practice oriented projects like BENISI and TRANSITION (incubators) or CASI (CSA) and SIX
 - more scientific projects like TEPsie, TRANSIT, SIMPACT, and CRESSIE
 - methodology calibration of SI-DRIVE, TRANSIT, SIMPACT, CASI
 - close cooperation with Net4Society.
- Bilateral project meetings **SI-DRIVE and TRANSIT**: General cooperation on theory and methodology development, joint conferences and workshops (namely: The Role of Game changers in Transformative Social Innovation)
- **EU Cooperation** (selection):
 - HORIZON 2020 – SSH Launch in Athens, several national information events (Germany)
 - FP7 projects on social entrepreneurship joint meeting 2015 in Brussels
 - ESIW - European Social Innovation Week 2014 in Tilburg
 - Cooperation with the European School of Social Innovation - ESSi: Summer School 2014 in San Sebastian and 2015 in Bologna
- **Mediterranean Countries Cooperation** : H2020 MED Conference 2014 in Cairo, EMUNI Conference 2015 Science Diplomacy in Slovenia, Turkish National Network Launch Day of SEISMIC in Istanbul 2014



Dissemination

- Project presentations at numerous conferences, workshops and other events



Workshop "Transatlantic Perspectives on Social Innovation"
German Centre for Research and Innovation, New York

Social Frontiers

The next edge of social innovation research



ACHIEVING IMPACT
Socio-economic Sciences & Humanities (SSH) in Horizon 2020
INTERNATIONAL CONFERENCE & BROKERAGE EVENT



UNITED NATIONS UNIVERSITY



United Nations



2015 UNITED NATIONS PUBLIC SERVICE FORUM, DAY AND AWARDS

23-26 JUNE 2015
CENTRO DE CONVENCIONES PLAZA MAYOR
MEDELLIN, COLOMBIA

INNOVATING PUBLIC SERVICE DELIVERY TO IMPLEMENT THE POST-2015 DEVELOPMENT AGENDA



UNU-MERIT



Heliopolis University



2015

European year

for development

Trans-Atlantic Platform
Social Sciences and Humanities



Dissemination

- Seminars, Massive Open Online Courses (MOOCs), Summer Schools, Policy Briefs all over the world

Public Policies in the Global Context
Hangzhou Social Innovation Cases
Zhejiang University, Hangzhou.



Innovative Finance in Africa



**Becoming a change maker:
Introduction to Social Innovation**

URBAN SOCIAL INNOVATION
First SIC Summer School



Lean Management Development Programme

This six month programme starts in Johannesburg on the 15 May 2017

[Click here for more information and to register.](#)



Bertha Centre for Social Innovation and Entrepreneurship

National Implementation (e.g. Germany)



International German Forum „Innovation and Society“ with Chancellor Angela Merkel



National Congress „Innovation for Society“
New ways and methods to unlock the potential of social innovation

