

transformative  
social innovation  
theory

**drift**  
for transition



# Transformative Social Innovation: Insights from the TRANSIT project

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*SI-Drive Final conference, 24th of October 2017*

*Brussels*

@TransitSI

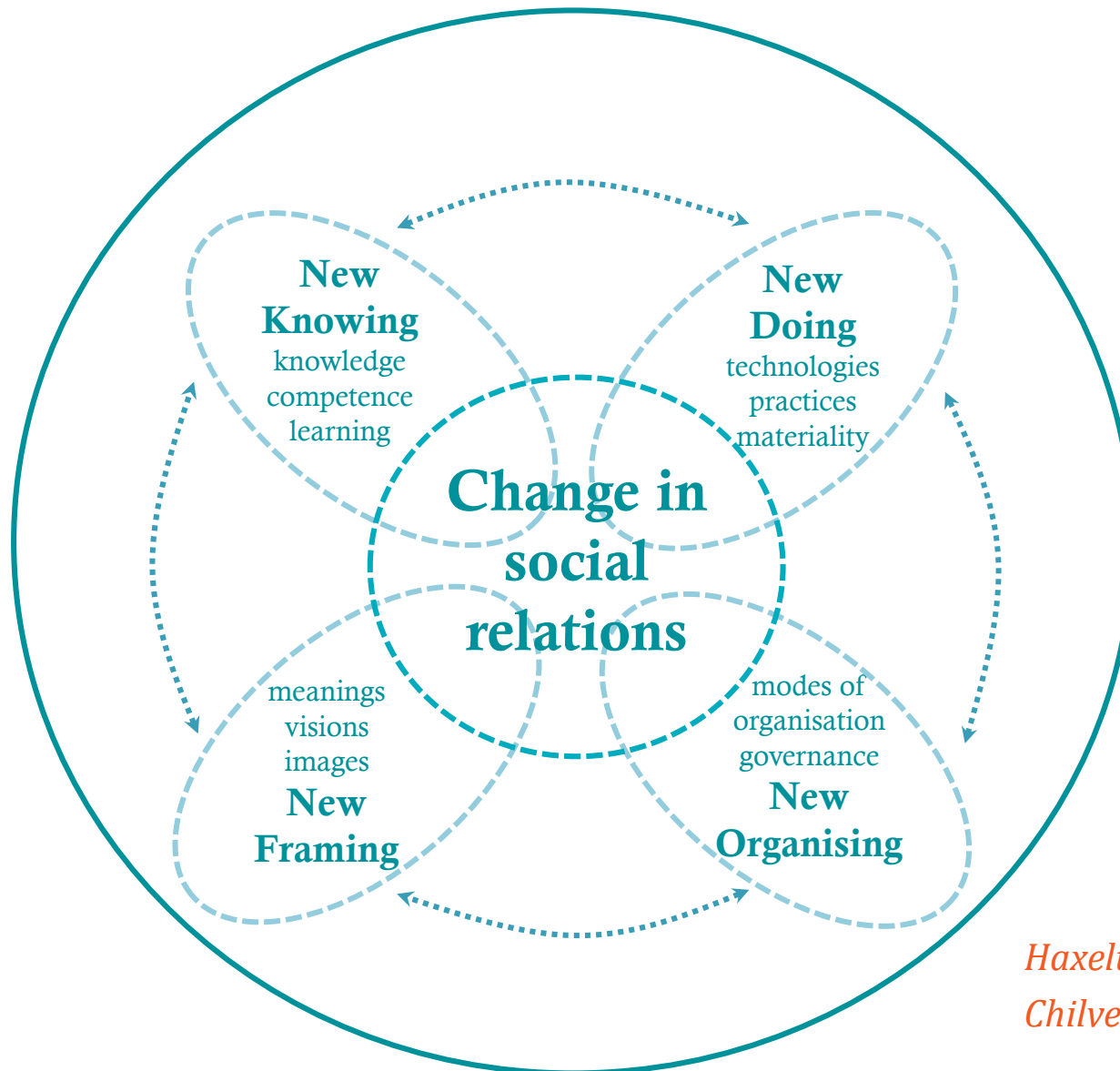


This project has received funding from the European Union's Seventh Framework Programme for research, technological development and demonstration under grant agreement no 613169.

# Research Aim

To develop a theory of **transformative social innovation** that is **grounded** and **tested** in empirical data, and **useful** to policy-makers, activists, entrepreneurs, critical intellectuals and researchers.

# Social innovation



*Haxeltine et al. 2016*

*Chilvers & Longhurst 2016*



THE ROUGH GUIDE to  
**Community Energy**

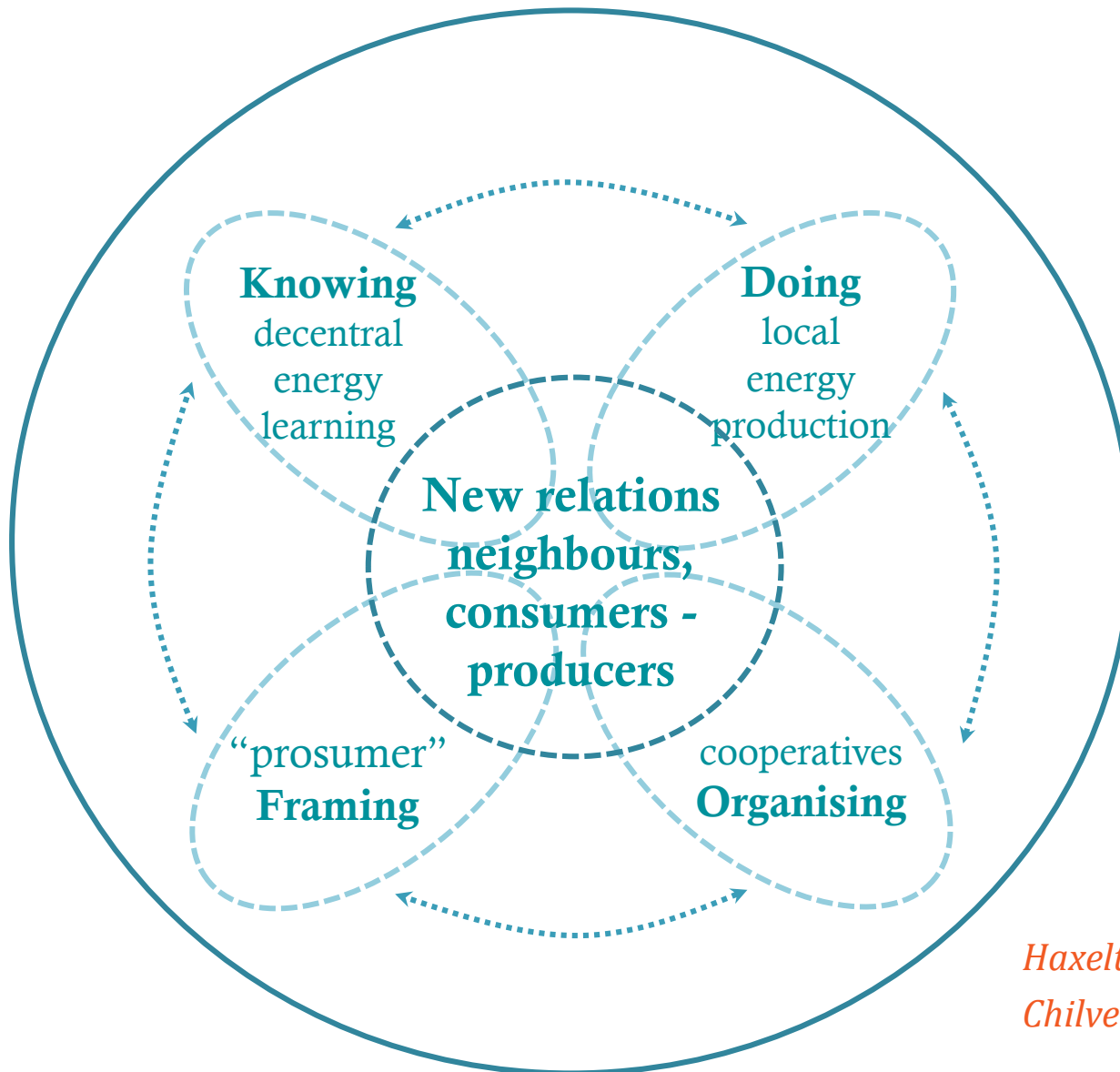


<http://www.westmillsolar.coop>



<http://persoonlijkeruimte.nl/?portfolio=buurtenergie-blijstroom>

# Example Community Energy



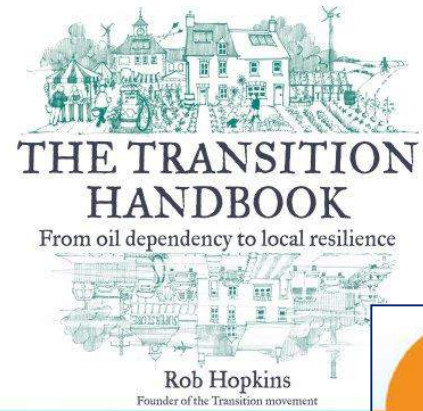
*Haxeltine et al. 2016*

*Chilvers & Longhurst 2016*

# 'Reinventing' cooperatives



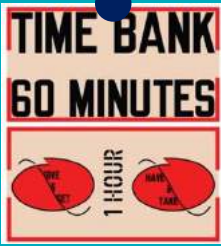
# time/bank



"If your town is not yet a Transition Town, here is the guidance for making it. We have little time, and much to accomplish." — Richard Heinberg, author of *Peak Oil*



coop.be





A large, bold, orange question mark is centered within a dashed orange rectangular border. The question mark is composed of a thick, curved top section and a vertical stem ending in a solid orange dot. The dashed border consists of short, thick orange segments separated by small gaps, forming a rectangular frame around the central question mark.



**time/bank**

**THE TRANSITION HANDBOOK**  
From oil dependency to local resilience  
Rob Hopkins

**COOPERATIVES Europe**

**SECURE**

**coop.be**

**2012 International Year of Cooperatives**

**Transition Network.org**

**Local Energy Tableland LETS Trading System**

**GLOBAL ECO-VILLAGE NETWORK**

**Ecopower cvba**

**Local Exchange Trading Scheme**

**BE PAY BY TEXT**

**IN ITHACA WE TRUST**  
ONE HOUR  
EIGHTH HOUR

**TIME BANK 60 MINUTES**

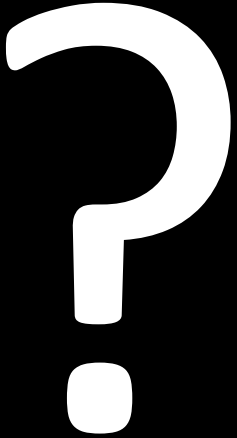
**1 HOUR**

<https://groups.yahoo.com/group/westlothianlets/>

**Deutsche Börse 21.01**

**1000 KWEES**

**1000 KWEES**



# High Expectations of Social Innovation



Former EU commission president José Manuel Barroso:

*“If encouraged and valued, social innovation can **bring immediate solutions to the pressing social issues** that citizens are confronted with.”*

BEPA:

*“At a **time of major budgetary constraints, social innovation is an effective way of responding to social challenges, by mobilising people’s creativity to develop solutions and make better use of scarce resources”.***

[www.transitsocialinnovation.eu](http://www.transitsocialinnovation.eu)





Creating Economic Space for Social Innovation

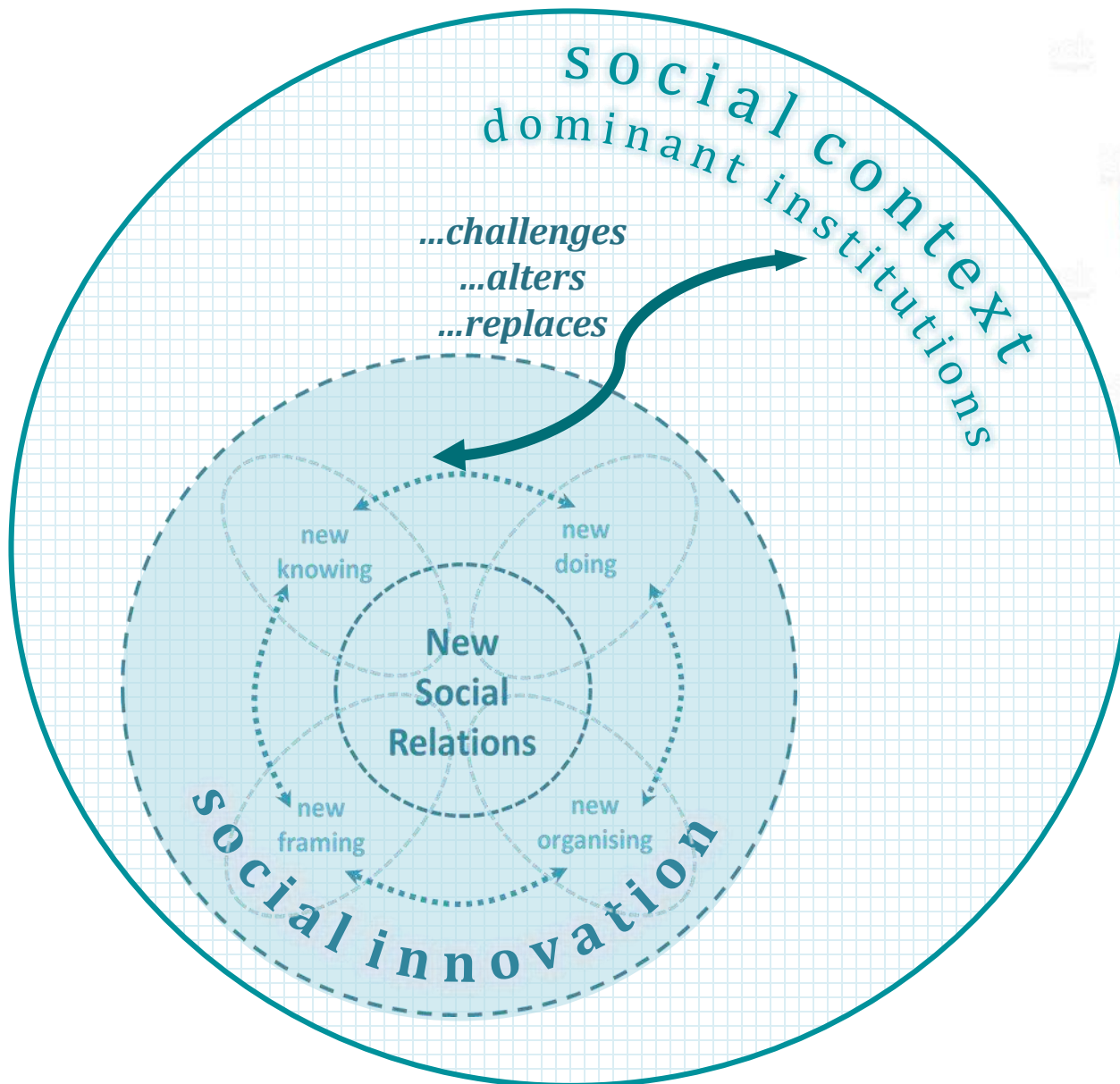


**SOCIAL  
INNOVATION  
COMMUNITY**

# Research Questions

- how, to what extent and under which conditions does social innovation contribute to transformative change?
- how are people empowered (or disempowered) to contribute to such processes?
- how do we conceptualise and study transformative social innovation?

# Transformative social innovation?



*Haxeltine et al. 2016*  
*Wittmayer et al. 2015*  
*Avelino et al. 2014*

# Unpacking the social context

## Game-changers =

- Macro-developments, events or trends that are framed as/ perceived to change the (rules, field, players in the) 'game' of societal interaction

Ecology and Society



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E&S HOME > PAST ISSUES > FEATURE (*IN-PROGRESS*)

Open Access Publishing

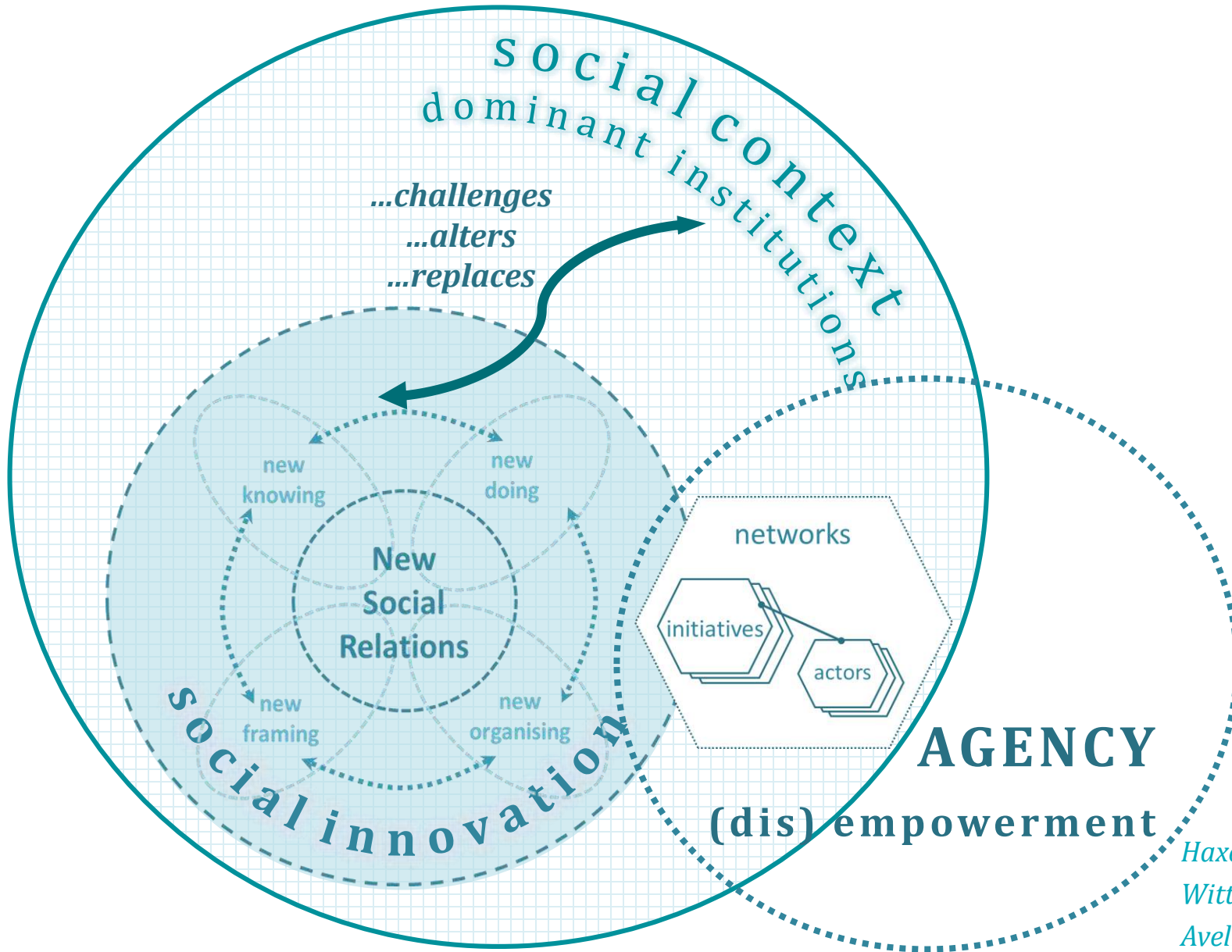


## Game-Changers and Transformative Social Innovation

Guest Editors: Flor Avelino, Rene Kemp, Julia Wittmayer, and Alex Haxeltine

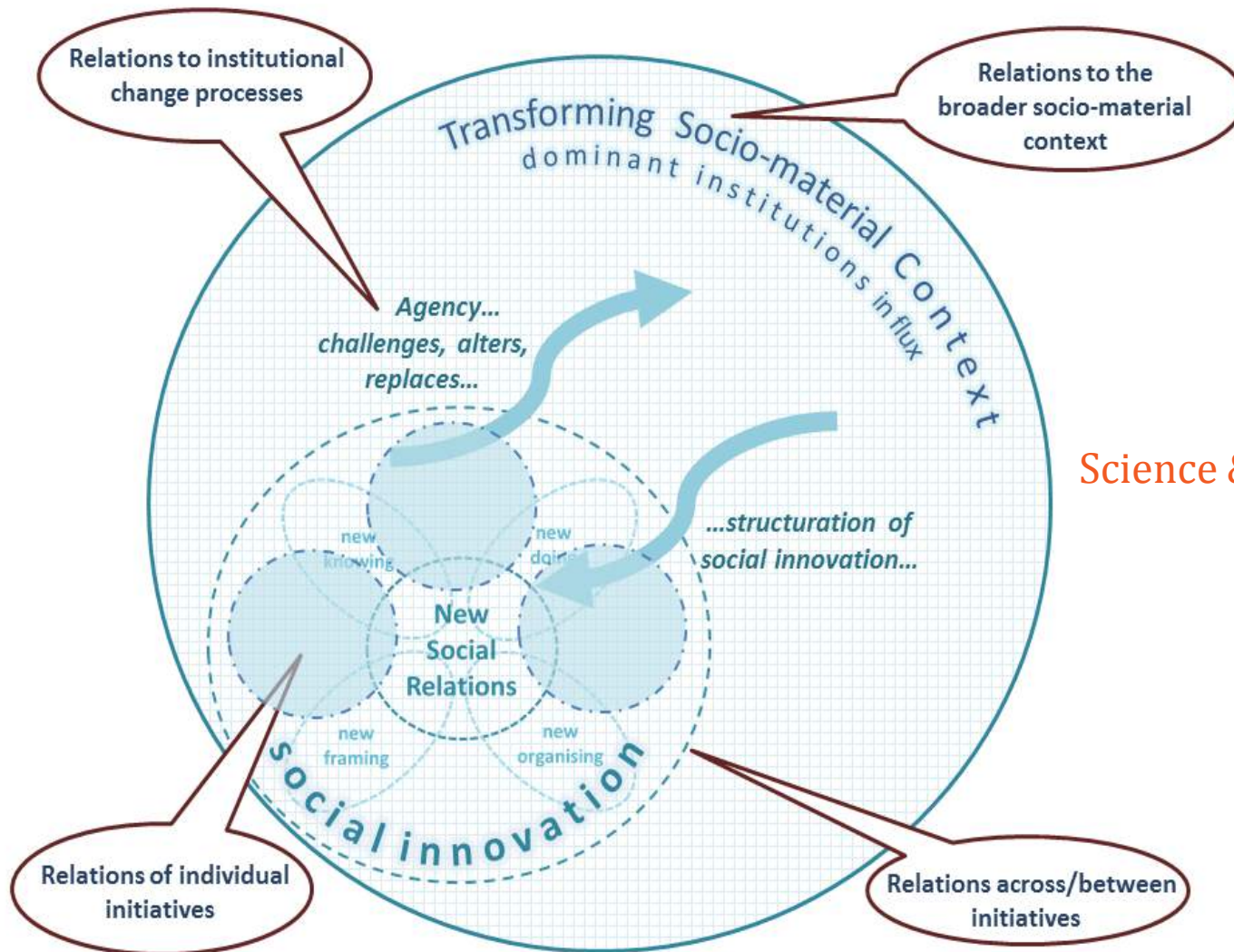
- e.g. (economic) 'crisis', national policy interventions, climate change, ....

# Agency for transformative social innovation



*Haxeltine et al. 2015*  
*Wittmayer et al. 2015*  
*Avelino et al. 2014*

# Integrating dimensions & theoretical perspectives



Institutional theory  
Relational theory  
Structuration theory  
Transition research  
Science & Technology studies  
Innovation studies  
Political theory  
Political psychology



# A middle-range theory of TSI

Conceptual framework & 20 theoretical propositions on TSI



<http://www.transitsocialinnovation.eu/deliverables>



*Living Knowledge*  
The International Science Shop Network



RÉSEAU  
INTERCONTINENTAL  
DE PROMOTION DE  
L'ÉCONOMIE SOCIALE  
SOLIDAIRE



Co-operative Housing International  
A Sector of the International  
Co-operative Alliance





**theguardian**

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**Brazil**  
Doing things differently

## Participatory democracy in Porto Alegre

The first of a new series: this Brazilian city's municipal assembly challenges conventional systems of government - and it works



< 199 12



Patrick Kingsley

Monday 10 September 2012 20.00 BST



### Most popular in US



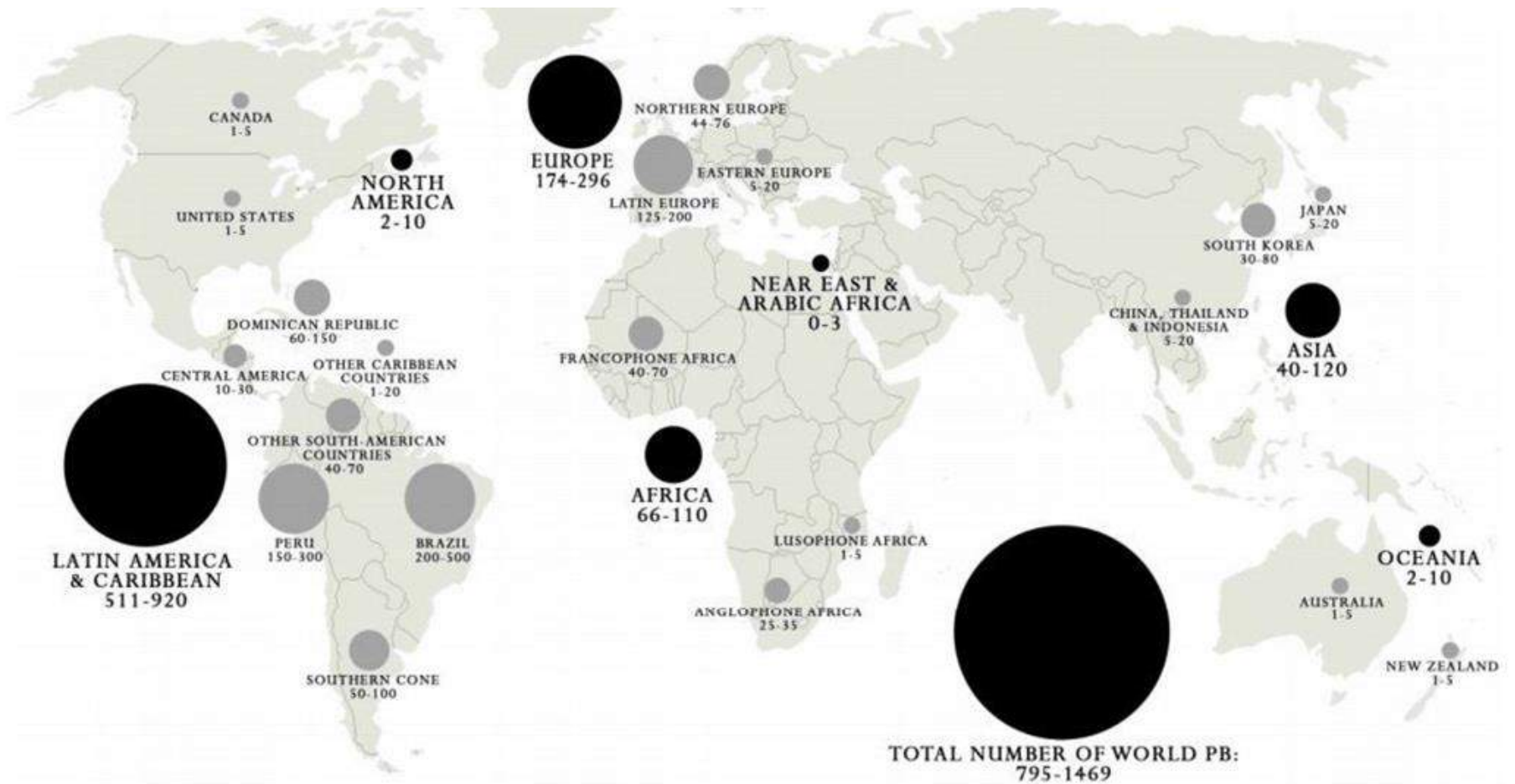
Manchester bombing: more victims named as threat level is raised to critical - live news



Study finds mushrooms are the safest recreational drug



Morrissey attacks politicians and the Queen over Manchester





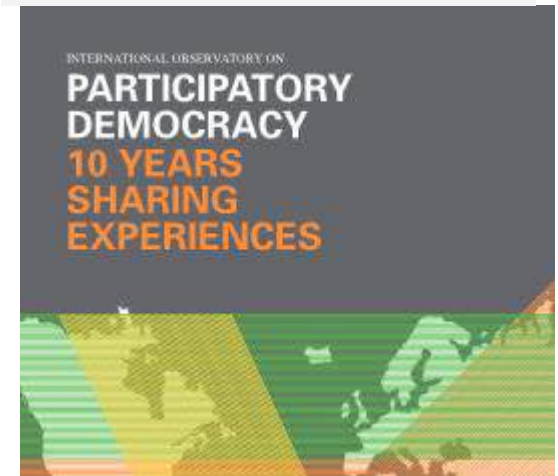
## A NETWORK OF 547 TOWNS AND CITIES IN 52 COUNTRIES

Albania, Andorra, Argentina, Armenia, Azerbaijan, Belgium, Benin, Bolivia, Brazil, Bulgaria, Canada, Chile, Colombia, Congo, Costa Rica, Denmark, Dominican Republic, Ecuador, El Salvador, Estonia, France, Guatemala, Haiti, Holland, Honduras, Italy, Lebanon, Liberia, Luxembourg, Madagascar, Mali, Mexico, Morocco, Nicaragua, Panama, Paraguay, Peru, Philippines, Portugal, Puerto Rico, Senegal, Spain, Sri Lanka, South Africa, Sweden, Switzerland, Tanzania, Togo, Turkey, United Kingdom, Uruguay and Venezuela.

**310 LOCAL GOVERNMENTS**  
**237 UNIVERSITIES, RESEARCH  
CENTRES AND ASSOCIATIONS**

**A NETWORK OF SHARED COMMITMENTS**  
**TECHNICAL SECRETARIAT**  
**BARCELONA**  
**LATIN AMERICA REGIONAL OFFICE**  
**PORTO ALEGRE**  
**ANNUAL INTERNATIONAL CONFERENCE**  
**12 CITY ORGANISERS**

**WEB**  
[www.oidp.net](http://www.oidp.net)



# IMPACT HUB

Where change goes to work



Welcome to Impact Hub  
#HelloImpact

# IMPACT HUB



86

Open Impact Hubs



21

Impact Hubs in the Making



15,000+

Members



5

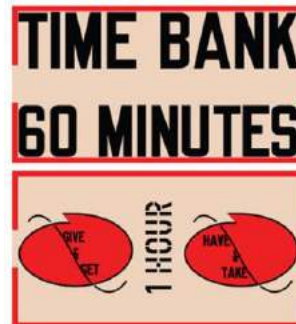
Regions



*Living Knowledge*  
The International Science Shop Network



RÉSEAU  
INTERCONTINENTAL  
DE PROMOTION DE  
L'ÉCONOMIE SOCIALE  
SOLIDAIRE



Co-operative Housing International  
A Sector of the International  
Co-operative Alliance





# **Embedded case-study approach**

A light blue world map with numerous blue location pins scattered across various continents, including North America, Europe, and South America, indicating the global reach of the study.

**20 translocal networks**

**100+ local manifestations**

**25+ countries**

**EU, Latin-America, other**

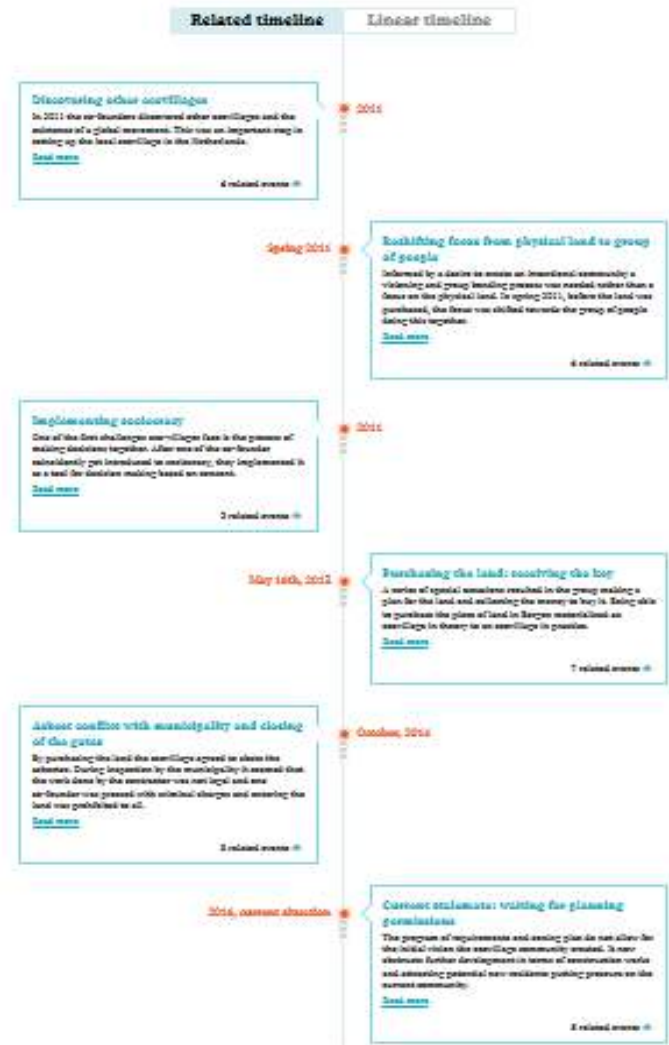
**interviews, participant observation,  
document reviews, comparative meta-analysis**

# A repository of Critical Turning Points: making sense of TSI timelines

## On breakthroughs, setbacks and surprises in processes of Transformative Social Innovation.

By [Bonno Pel](#) (ULB), [Linda Zijderwijk](#) (IHS) and [Adina Dimitru](#) (UDC).

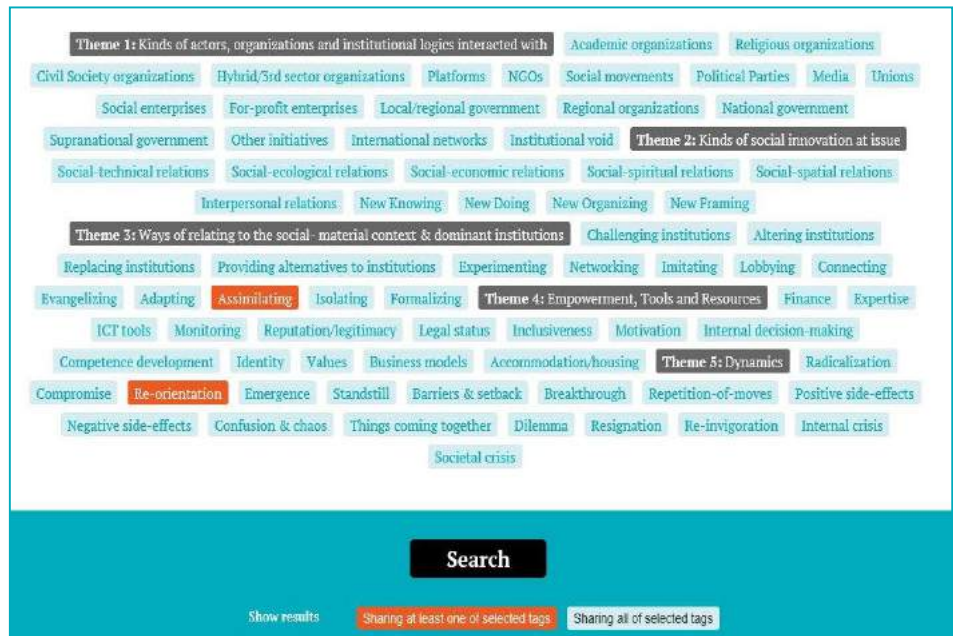
Through this blog we'd like to introduce you to our database project on Critical Turning Points in transformative social innovation. In the following we will explain how these Critical Turning Points help to make sense of social innovation processes, how we describe them, and how we seek to collect a diversity of those experiences from about 80 social innovation initiatives in various countries.



# The Critical Turning Points database; concept, methodology and dataset of an international Transformative Social Innovation comparison

TRANSIT Working Paper #10, July 12<sup>th</sup> 2017

Pel, B., Bauler, T., Avelino, F., Backhaus, J., Ruijsink S., Rach, S., Jørgensen, M. S., Kunze, I., Voss, G., Dumitru, A., Lema Blanco, I., Afonso, R., Cipolla, C., Longhurst, N., Dorland, J., Elle, M., Balázs, B., Horváth, J., Matolay, R., Wittmayer, J., Valderrama Pineda, A., Serpa, B., Rösing Agostini, M., Lajarthe, F., Garrido, S., Picabea, F., Morelra, J., Trentini, F., Bidinost, A., Weaver, P., Heimann, R., Skropke, C., Hoffmeister, K.L., Tawakol, D., Olivotto, V., Tsatsou, A., Zahed, Y., Moet, R., Zuijderwijk, L., Renema, J. and Kemp, R.



The screenshot displays a search interface for the TRANSIT database. It features a grid of thematic filters organized into five main categories:

- Theme 1: Kinds of actors, organizations and institutional logics interacted with** (includes: Academic organizations, Religious organizations, Civil Society organizations, Hybrid/3rd sector organizations, Platforms, NGOs, Social movements, Political Parties, Media, Unions, Social enterprises, For-profit enterprises, Local/regional government, Regional organizations, National government, Supranational government, Other initiatives, International networks, Institutional void)
- Theme 2: Kinds of social innovation at issue** (includes: Social-technical relations, Social-ecological relations, Social-economic relations, Social-spiritual relations, Social-spatial relations, Interpersonal relations, New Knowing, New Doing, New Organizing, New Framing)
- Theme 3: Ways of relating to the social- material context & dominant institutions** (includes: Challenging institutions, Altering institutions, Replacing institutions, Providing alternatives to institutions, Experimenting, Networking, Imitating, Lobbying, Connecting, Evangelizing, Adapting, Assimilating, Isolating, Formalizing)
- Theme 4: Empowerment, Tools and Resources** (includes: Finance, Expertise, ICT tools, Monitoring, Reputation/legitimacy, Legal status, Inclusiveness, Motivation, Internal decision-making, Competence development, Identity, Values, Business models, Accommodation/housing)
- Theme 5: Dynamics** (includes: Radicalization, Compromise, Re-orientation, Emergence, Standstill, Barriers & setback, Breakthrough, Repetition-of-moves, Positive side-effects, Negative side-effects, Confusion & chaos, Things coming together, Dilemma, Resignation, Re-invigoration, Internal crisis, Societal crisis)

At the bottom of the interface, there is a prominent **Search** button and two options: **Show results** and **Sharing at least one of selected tags** / **Sharing all of selected tags**.



# Comparative analysis

Available online at [www.sciencedirect.com](http://www.sciencedirect.com)

**ScienceDirect**

ELSEVIER

Current Opinion in  
**Environmental  
Sustainability**

**Experimenting with alternative economies: four emergent counter-narratives of urban economic development**

Noel Longhurst<sup>1</sup>, Flor Avelino<sup>2</sup>, Julia Wittmayer<sup>2</sup>, Paul Weaver<sup>3</sup>, Adina Dumitru<sup>4</sup>, Sabine Hielscher<sup>5</sup>, Carla Cipolla<sup>6</sup>, Rita Afonso<sup>6</sup>, Iris Kunze<sup>7</sup> and Morten Elle<sup>8</sup>

 CrossMark

Neoliberalism is a powerful narrative that has shaped processes of urban economic development across the globe. This paper reports on four nascent 'new economic' narratives which represent fundamentally different imaginaries of the urban economy. Experiments informed by these narratives challenge the dominant neoliberal logic in four key dimensions: What is the

**Introduction**  
In the 'century of urbanisation' [1,2] cities are seen as critical sites for societal change in general [3] but also specifically instead of specific sustainability transitions [4,5,6\*]. Attempts to steer urban sustainability transitions

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**Transitions towards  
New Economies?  
A Transformative Social  
Innovation Perspective**

Address  
<sup>1</sup>3S Res  
East Ang  
<sup>2</sup>DRIFT,  
Rotterda  
<sup>3</sup>ICIS, U

TRANSIT Working Paper #3, September 2015

By Flor Avelino, Julia Wittmayer, Adina Dumitru, Noel Longhurst, Sabine Hielscher, Paul Weaver, Carla Cipolla, Rita Afonso, Iris Kunze, Jens Dorland, Morten Elle, Bonno Pel, Tim Strasser, René Kemp and Alex Haxeltine


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**Narratives of  
change:  
How Social Innovation  
Initiatives engage with  
their transformative  
ambitions**

TRANSIT Working Paper #4, October 2015

By Julia M. Wittmayer, Julia Backhaus, Flor Avelino, Bonno Pel, Tim Strasser, Iris Kunze


**transformative  
social innovation  
theory**



**Synthesis across  
social  
innovation case  
studies**

Deliverable no. D4.4

Theme [sub.2013.3.2-1][Social Innovation: Empowering People, changing societies]  
Project Full Title: "Transformative Social Innovation Theory project"  
Grant Agreement n. 0131109

 This project has received funding from the European Union's Seventh Framework Programme for research, technological development and demonstration under grant agreement no 0131109

**transformative  
social innovation  
theory**



**Synthesis Report:  
meta- analysis of  
Critical Turning  
Points in TSI**

Deliverable 5.4

Theme [sub.2013.3.2-1][Social Innovation: Empowering People, changing societies]  
Project Full Title: "Transformative Social Innovation Theory project"  
Grant Agreement n. 0131109

 This project has received funding from the European Union's Seventh Framework Programme for research, technological development and demonstration under grant agreement no 0131109

# Narratives of change

Societal discourses on change and innovation, i.e. sets of ideas, concepts, metaphors, and/or story-lines about change and innovation

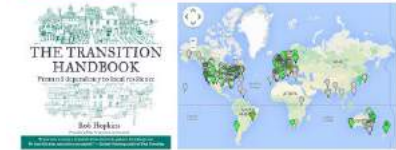
>> Diagnosis of the social context:  
Rationale, Actors, Process

# 4 clusters of narratives on alternative economies



**ASHOKA**  
Everyone A Changemaker™

“incubating social entrepreneurs”  
social entrepreneurship & social  
economy



“living in local, resilient  
communities”

degrowth & relocalisation



**SHAREABLE**

“sharing & redesigning goods”  
collaborative economy



RÉSEAU  
INTERCONTINENTAL  
DE PROMOTION DE  
L'ÉCONOMIE SOCIALE  
SOLAIDAIRE



“political institutional  
reform”

solidarity economy

# Playing with/into discursive dynamics

- Express counter-culture
  - Trigger imagination
  - Resource for empowerment
  - Guide action (-strategies)
- 
- Continuously changing and adapting
  - Co-evolve with game-changers: Social innovation initiatives play into that 'discursive dynamic'

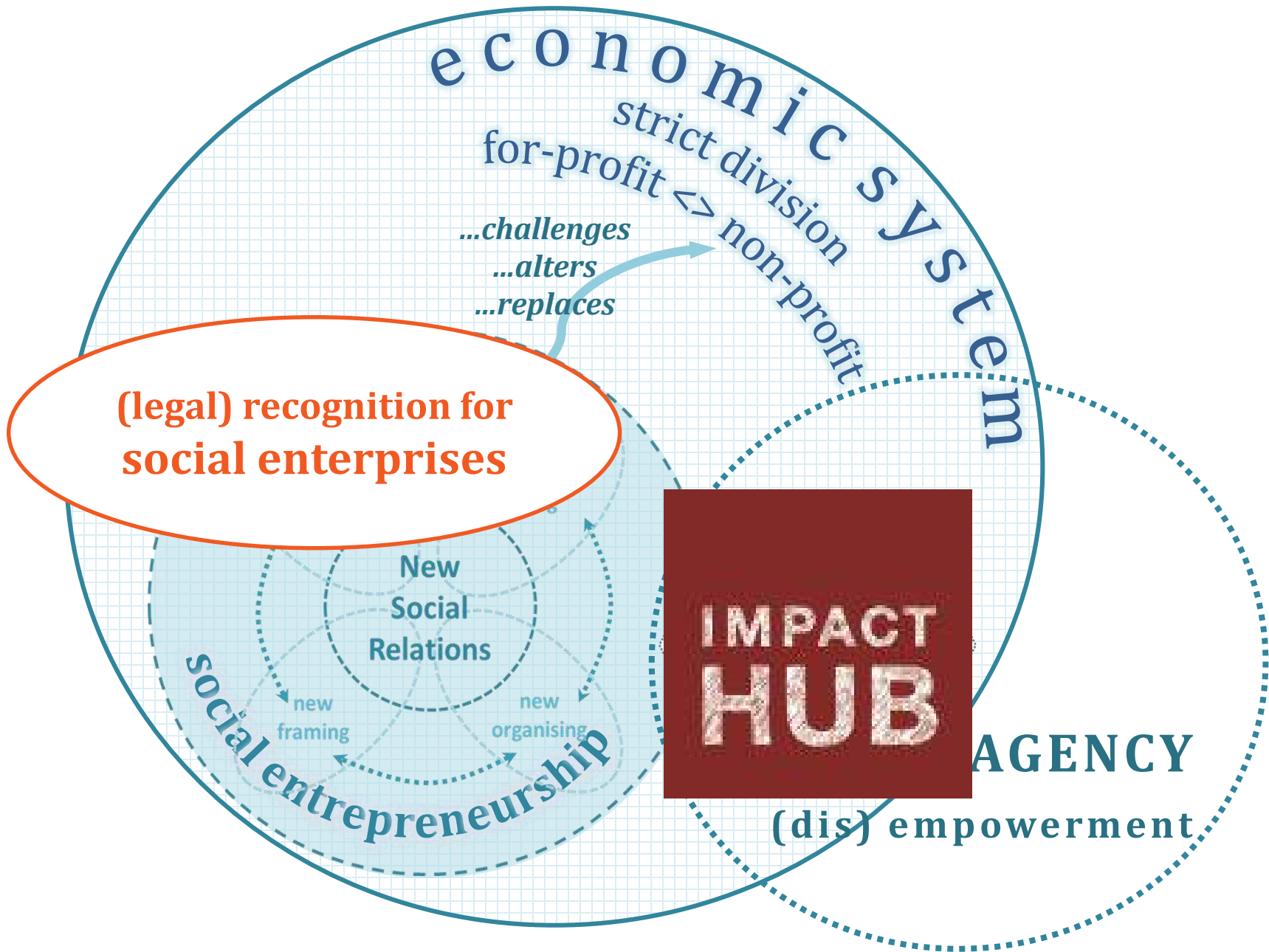
# Experimenting with social relations

- Physical and mental space for **learning** and **experimentation**.
- Renewing **social relations & relational values**.  
*trust, reciprocity, equality, collectiveness, cooperation, sharing, solidarity, inclusion, transparency, openness, connectedness etc.*
- **Community-building** and transparent, cooperative and inclusive forms of decision-making.



# Challenging institutional logics

- Initiatives challenge existing **institutional logics** and **(re)-negotiate** new / adapted institutional logics
- SI-initiatives **lack an ‘institutional home’** > **‘institutional nomadism’** = both barrier & opportunity for transformative impact.
- **Hybrid organizational forms** instead of ‘stretch & conform’.



economic system

strict division

for-profit <> non-profit

...challenges  
...alters  
...replaces

(legal) recognition for social enterprises

social entrepreneurship

New Social Relations

new framing

new organising

IMPACT HUB

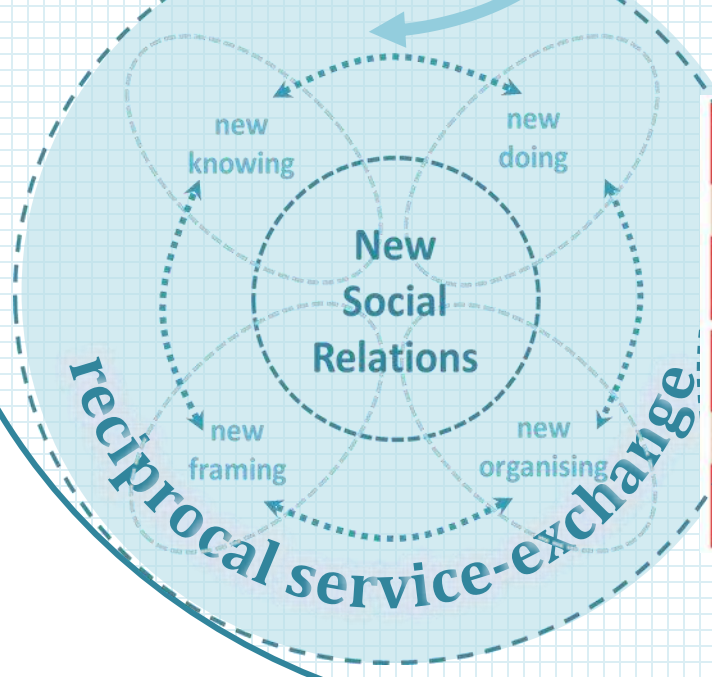
AGENCY

(dis) empowerment

economic system  
service exchange through  
formal money transactions

...challenges  
...alters  
...replaces

**Re-negotiating TAXES**



**TIME BANK**

**60 MINUTES**

**1 HOUR**

~~GIVE & GET~~      ~~HAVE & TAKE~~

**AGENCY**  
(dis) empowerment

# **The Institutionalization of Social Innovation between Transformation and Capture**

**TRANSIT Working Paper 2, 14/10/14**

By Bonno Pel & Tom Bauler

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<http://www.transitsocialinnovation.eu/working-papers>



Findhorn (UK)



Tamera (Portugal)





- WhiteWashing
- GreenWashing
- WeWashing?
  
- AirBnB, Uber, etc.

**HUFFPOST** f t i s

*THE BLOG* 03/16/2015 07:00 pm ET | Updated May 16, 2015

## #WeWashing: When “Sharing” Is Renting and “Community” Is a Commodity

 By Lee-Sean Huang

*WeWashing is a new term that refers to the abuse of words like “sharing” and “community.” Use #WeWashing to identify and critique this abuse.*

**Whitewash, Greenwash, WeWash**

I am usually a satisfied user of services like AirBnB and Uber, even if I don't 100% agree with all of their corporate policies and practices. But I cringe every time I hear these companies, and others like it, described as part of the “Sharing Economy.”

Philanthropy & Funding

## A Neoliberal Takeover of Social Entrepreneurship?

A model of social entrepreneurship focused on market-based solutions and profit is threatening to crowd out more collaborative approaches.

SHARE COMMENT PRINT ORDER REPRINTS

By **Jyoti Sharma** | Sep. 19, 2016

I recently found an interesting article by Martin Jacques, who, in the early 1980s, heralded and warned against the economics with minimalist neoliberalism, but this policies have led to slow 90 percent of the pop

## The watering down of participatory budgeting and people power in Porto Alegre, Brazil

by **DANIEL CHAVEZ**

### Introduction

In the Brazilian city of Porto Alegre, previously famous as the birthplace of the World Social Forum and the global capital of participatory democracy, the centre-right coalition that took office after the electoral defeat of the left in 2004 has implemented a new institutional scheme in Porto Alegre. It is known as *governança solidária local* (local solidarity governance), which allegedly will deepen and broaden civic engagement. The scheme has been praised by mainstream international organisations but – for reasons to be discussed below – also strongly criticised by local NGOs, engaged researchers, leftwing political parties, and civil society organisations. This process is consistent with broader changes taking place at the international level through the sustained export of a lite version of participatory budgeting by rather non-democratic and non-participatory institutions such as the World Bank.<sup>1</sup>

Figure 1: Map showing Porto Alegre



telesUR Article

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IN DEPTH

Venezuela's Violent Right-Wing Femicides in Latin America Colombian Peace Process Corruption in

Opinion > Articles

## Basic Income as a Neoliberal Weapon

By: **John Clarke**

A large poster in a square in Geneva, Switzerland, promoting the idea of a universal basic income | Photo: Reuters

## NEW THINKING FOR THE BRITISH ECONOMY

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 free thinking for the world

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25th November 2016 / Dmytri Kleiner :: Uncategorized

Universal Basic Income is a neoliberal plot to make you poorer

# Dialectics of TSI politics

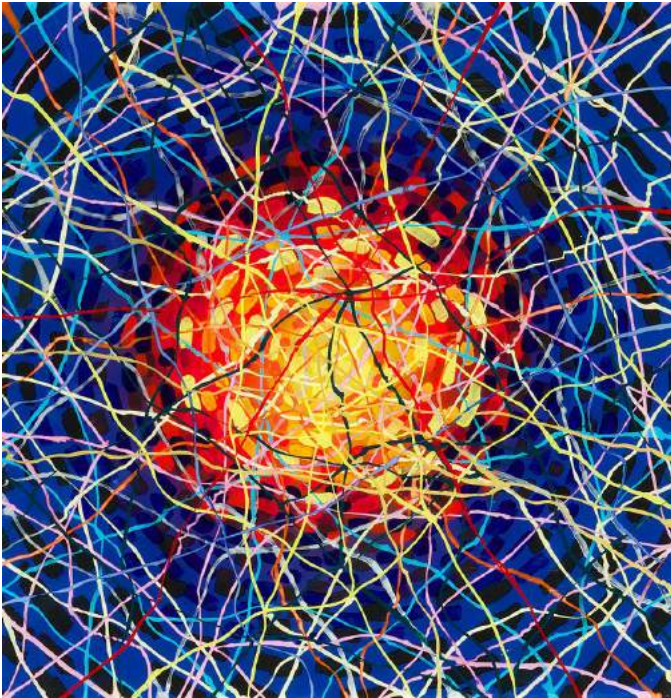


*A dialectical perspective  
on innovation 'capture'*  
(Bonno Pel 2016)

- Social innovations have a dialectic relation with established institutions - they both challenge them and reproduce them.
- To be transformative, inclusive & accessible, some sort of mainstreaming is needed (marketization, formalization, bureaucratization, normalization).



# Paradoxical political strategies



***Translation & radical core***  
**(Adrian Smith 2006, 2007)**

- **Translating** (new ways of doing, knowing, framing & organizing) to mainstream context, while also maintaining one's **radical core**
- SI-initiatives need flexible **portfolio of different - often paradoxical - strategies** towards institutional change (*supplementing, lobbying, protesting, compromising, complying, circumventing, avoiding, seducing, negotiating, imitating, surprising... etc.*)

# Learning for Change: A Journey through the Theory of Transformative Social Innovation

14-15/

See [TSI manifesto website](#) for online version + more information.

## Manifesto for Transformative Social Innovation.

Version 0.1. / October 2017

An age of contradiction is upon us. People and nature are more globally interconnected than ever before, while also increasingly separated by old and new divisions. Scientific knowledge, technological innovation and legal structures have brought progress and previously unimaginable forms of comfort and entertainment. At the same time, there is severe poverty and inequality, ecological disasters, climate change, biodiversity loss and economic downfalls. The past years have been full of political and social tensions, 'intercultural tensions', 'post-truth' media wars, of raging wild fires and hottest days on earth together with multiple political blasts and

### 3 Principles of Transformative Social Innovation

We uphold and commit to the following **13 principles of transformative social innovation** (for a detailed elaboration of the principles at the end of this document):

1. Physical and mental space for **learning and experimentation** is a necessity.
2. We require **alternative and diverse economies**.
3. Innovation is just as much about shaping the new as it is about **reframing** the old.
4. We need to experiment with **alternative social relations** and relational values.
5. Social & material change are intertwined: we need both **social & technological** innovation.
6. Transformative change requires **hybrid combinations** of civil society, state and market.
7. Social innovation should **never be an excuse to dismantle necessary public services**.
8. **Translocal empowerment** is a promising response to the challenges of globalisation.

### A commitment and a call to action

Claiming our right to innovate and foster change implies that we also commit to collaborative and transformative change. Achieving transformative change in society requires that we ourselves transform. We call upon you as activists, entrepreneurs, policy-makers, researchers, journalists and critical intellectuals, or whatever role you play or position you have, to join us in making the following specific and general commitments.

### Calling on activists and social entrepreneurs to commit with us to:

- **Collaborate.** We commit to collaborate and explore synergies within and across initiatives locally and globally. We welcome unlikely allies. Supporters can come in different roles and from different backgrounds. Whenever we can, we tell the story of our initiatives and listen to your responses.

[www.transitsocialinnovation.nl](http://www.transitsocialinnovation.nl) / [@TransitSI](#)

# Thank you.

Dr. Julia Wittmayer

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