SI-DRIVE
Social Innovation: Driving Force of Social Change

GUIDELINES FOR ROUND TABLE AND FORESIGHT WORKSHOPS (FORESIGHT & POLICY WORKSHOPS)
D2.3

August 2015

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1 INTRODUCTION

1.1 THE SI-DRIVE APPROACH TO FORESIGHT AND POLICY

Within the SI-DRIVE project, WP 11 focuses on the exploration of **future perspectives** for social innovation (foresight) and to identify **policy options** for the different policy areas and levels in the field of social innovation and social change. Social innovation comes about through actions of the civil society, social entrepreneurs, social innovators and other actors (from policy, economy and science). With regard to the potential of social innovations to modify or even re-direct social change, the main question is: What has been (retrospectively) and what could be (prospectively) the type of governance to move from social innovation to social change? In the upscaling process of social innovation, policy makers can play an important role. A precondition of this is, however, to identify dynamics and up-scaling options – or rather options to increase impact - for social innovation relevant to policy intervention, and to identify policy options with regard to supporting social innovation within policy fields and across policy fields.

We will use foresight approaches in a series of workshops to develop these policy options. To develop multi-level policy strategies for supporting social innovation, a set of Foresight & Policy Workshops and Policy Round Table will be organized. To structure these workshops and the discussions between social innovators, stakeholders and public policy makers, we use a policy framework to filter the results from the research. The policy framework helps us to understand the activities of actors and how policy makers may play a role. A first policy and foresight framework is already outlined in the Working Paper Policy Framework for Social Innovation (SI-DRIVE deliverable D11.1 October 2014).

The present deliverable D2.3 is based on this working paper and presents in more operational methodological terms the specific guidelines for the first round of foresight & policy workshops. The guidelines are intended to ensure that the results of the workshops are comparable to integrate them into

- the first overarching policy round table (see SI-DRIVE deliverable D11.1 chapter 3.2.2) discussion in November 2015, before the conference "Pathways to Social Change" in Vienna,

- the next mapping phase (in-depth case studies)

- the second round of workshops and the second policy round table

- into the final policy recommendations report.

1.2 COMPONENTS OF THIS REPORT

This report D2.3 includes the underlying concept and the operational guidelines for the first round of policy and foresight workshops. The guidelines describe the procedure for the workshops to come to policy recommendations and multi-level policy strategies for supporting social innovation.

1.3 TARGET AUDIENCE

This report is written for the teams in charge of the different policy fields investigated in SI-DRIVE. The concept and guidelines should help them prepare and moderate the policy and foresight workshops, and also direct the reporting on workshop results.

- The participants of the workshop will be – due to internal discussions and the results of the pilot workshop – twofold: The first round of foresight and policy workshops (Sept./Oct./Nov. 2015) will be conducted with the internal SI-DRIVE partner representatives mainly, external experts will be invited in

exception (due to practical reasons, e.g. in WP 10 a lot of non-European experts are important, therefore their presence at the Vienna conference in Nov. 2015 is made use of and will lead to cost reductions). The focus on the internal representative was made to get a common view on the results, impact, policy and foresight within the policy fields.

- In the second round of foresight and policy workshops (beginning of 2017) the results of both empirical phases will mainly be discussed with external experts to ensure the integration of not only internal but also external views and know-how.

2 FORESIGHT & POLICY WORKSHOPS

2.1 INTRODUCTION

The cross-cutting support to Foresight and Policy workshop are part of WP 11, Task 11.2, while the actual implementation of Policy and Foresight workshops is part of the work packages dealing with the policy fields. Therefore, the workshops will be organised in close cooperation with the policy fields WP4-10.

Two rounds of workshops are foreseen by WP 11. The first round of workshops aims at exploring future perspectives for social innovation (foresight) and to identify policy options we use for

- Identifying developments and up-scaling options for social innovation relevant to policy intervention, and

- Identifying policy options to supporting social innovation within specific policy fields and exchanging policy options across policy fields.

As the SI-DRIVE literature review (D1.1) has shown, we not only need to understand how social innovations as a new social practices comes about, we also need to understand the dynamics of different social innovations in a variety of policy fields.

The goal is to explore future perspectives of social innovations. This will be done by bringing together forward looking insights and exploring future perspectives within and across the different policy fields.

The foresight input addresses more than learning from the past about better ways for policy makers (and other stakeholders) to manage social innovation. It is also an opportunity to give these policy makers (and other stakeholders) *new insights into emerging developments* and *instruments to address future challenges* (e.g. economic crisis, demographic change,) associated with social innovation. This includes improving the resilience of solutions. Future trends in social innovation will be identified in the policy fields on the basis of the methodological guidance provided by this task, they will be integrated in a cross-cutting manner, and be used in devising policy recommendations concerning the diffusion of knowledge and aiming at empowerment of relevant communities (civil servants, recipients of public services, social innovators, economic and societal associations, and the broader public).

These forward-looking elements will identify:

- emerging patterns of social innovation,

- potential drivers of and barriers to the widening of their role in society,

- and policy issues resulting from this exploratory analysis.

With the foresight & policy workshop the SI-DRIVE project will integrate foresight elements as a cross-cutting issue into the work packages dealing with the different policy fields, and will bring their findings together in an international Policy Round Table discussion and Consolidation Workshop at the SI-DRIVE Conference in Vienna in November 2015.

2.2 PROCESS PERSPECTIVE: FROM HINDSIGHT TO FORESIGHT

The main task of the policy and foresight work package is to move from retrospective analysis to prospective analysis and subsequently to policy issues. Based on the first mapping results we will adapt existing future-oriented assessment tools as well as foresight tools to come from hindsight to foresight.

2.3   ISSUES AND LEVELS FOR FORESIGHT & POLICY ANALYSIS

The workshops will focus on three levels of analysis to address the relevant issues for foresight & policy:

- Concrete cases and specific practice fields of social innovations → concrete 'instruments' and experiences

- Policy fields → sectoral policies in the focus

- Social Innovation in general → generic policy considerations.

2.4   ANALYTICAL FRAMEWORK - FUTURE PERSPECTIVES

The foresight session on future perspectives of social innovation will address challenges and ambitions, drivers and barriers and the future role of social innovation. The analytical framework focuses the following topics:

- To address the main **challenges and ambitions** for Social Innovation in the policy field we will explore what are the current and future challenges for SI in the policy field. To get an impression of the political differences in the policy field, the challenges could also be specified for countries/regions and the related different cultural context and governance background. Closely linked to the challenges is the question what (political) ambitions and goals are pursued in the policy field through Social Innovation.

- To explore **main drivers and barriers** for Social Innovation in the policy field we need to know the factors that might drive SI and SI-related change processes in the field. This question can be specified with regard to the demand side of SI, the technological factors and the factors concerning government policy and funding. The foresight session aim at identifying key drivers and their consequences (What variants/partial scenarios do these key drivers suggest?). Second, we will identify barriers for Social Innovation and barriers with regard to practice fields and change processes. These barriers should be specified in terms of policy, governance, economics, behaviour, collaboration, and others.

- A central question for the foresight session is the **future role of (social) innovation**: We will explore what innovation – in particular social innovations – might help overcome barriers and reinforce drivers in the field and wwhat are the key factors that may affect SI in general – form the perspective of the policy field.

2.5   KEY QUESTIONS AT DIFFERENT LEVELS

The approach for the process of the foresight & policy workshop is based on key questions at different levels.

- The first level to be addressed is the **level of cases and practice fields** of social innovations to ensure that the results are based on practical experiences from Social Innovation. Key questions are:

    o   What are the key drivers in (your) specific cases / practice fields?

    o   What are the main barriers for specific SI and/ or practice fields? In terms of policy, governance, economics, behaviour, collaboration, etc.?

    o   What barriers prevent in particular the goals and ambitions to be achieved?

- The second level to be addressed is the **level of the policy field** to explore the specific dynamics in the field. Central questions are:

o   What (political) ambitions and goals are pursued in the policy field through Social Innovation?

o   What current/future challenges for SI are we going to be confronted with in the policy field? (To what extent do they differ across European countries? Which ones are common?)

- The third level focus on **Social Innovation in general** to address potential generic issues of upscaling SI.

o   What kind of innovation – in particular social innovations – might help overcome barriers and reinforce drivers?

o   What are the key factors that may affect SI in general – form the perspective of the policy field?

2.6   TEMPLATE FOR THE WORKSHOP-AGENDA

Arrival the night before: Dinner, for informal getting-to-know-each other setting the scene for the workshop

09.00-09.30	Welcome, formal introductory round and agenda
09.30-10.00	Presentation: Research findings, e.g., case studies, and key questions and issues, facilitated by WP-leader & Introduction Foresight Session
10.00-12.30	Foresight - challenges/ambitions, drivers/barriers and the future role of social innovation - facilitated by AIT
12.30-14.00	Lunch
14.00-16.30	Policy - Options for scaling-up social innovation, Policy Challenges and Key factors - facilitated by TNO
16.30-17.30	Joint conclusions and recommendations—and research questions, for further, in-depth case studies in SI-DRIVE (WP4-10)

2.7 PROCEDURE AND DETAILED PLANNING OF THE WORKSHOPS

The following table is based on the agenda, illustrates the expected result and explicates the procedure (including responsibilities, roles and material).

	Agenda	Who	Setting and Material needed
09.00-09.30	Welcome, formal introductory round and agenda	Welcome: WP Leader Policy Field Introductory round: All Agenda: WP Leader Policy Field	Setting: Plenary Material: Laptop and Beamer & Agenda (on screen and on the tables), Name Tags
09.30-10.00	Presentation: Research findings, e.g., case studies, and key questions and issues Introduction Foresight Session	Presentation: WP Leader Policy Field Introduction Foresight Session moderation: WP11 / AIT	Setting: Plenary Material: Laptop and Beamer & Presentations
10.00-12.30	Foresight - challenges/ambitions, drivers/barriers and the future role of social innovation	Facilitated by AIT	Setting: Working groups (4-8 persons per group) Moderators of the Groups: AIT/TNO and rapporteurs from the group (details on expected results and process: next sub-chapter) Material: Flipcharts, flipchart paper, marker pens, self-adhesive coloured paper etc. (to be provided by the host / WP Policy Field Team)
12.30-14.00	Lunch	According to organisation: WP Leader Policy Field	
14.00-16.30	Policy - Options for scaling-up social innovation, policy challenges and key factors	Introduction: WP 11/TNO	Setting: Working groups (4-8 persons per group) Moderators of the Groups: AIT/TNO and rapporteurs from the group (details on expected results and process: next sub-chapter) Material: Flipcharts, flipchart paper, marker pens, self-adhesive coloured paper etc. (to be provided by the host / WP Policy Field Team)
16.30-17.30	Joint conclusions and recommendations—and research questions, for further, in-depth case studies in SI-DRIVE (WP4-10)	Moderation: WP Leader Policy Field together with WP11 AIT/TNO	

2.7.1 Foresight Session – Key questions & expected results

Time	Content	Expected Result
10:00-10:10	Short Introduction & set up 2 working groups	
10:10-11:30 (Level: Cases and practice fields of social innovations)	Group discussion: • What are the key drivers in (your) specific cases / practice fields? • What are the main barriers for specific SI and/ or practice fields? • What barriers prevent in particular the goals and ambitions to be achieved?	Tangible Results • List of drivers & barriers & specific goals • Map of drivers, barriers and goals in terms of policy, governance, economics, behaviour, collaboration, etc. Intangible Results • Common understanding of SI in the field
11:30-12:25 Level: Policy fields	Group discussion: • What (political) ambitions and goals are pursued in the policy field through Social Innovation? Today and in the future?	Tangible Results • Set of political goals and ambitions • Mapping of goals in terms of policy, governance, economics, behaviour, collaboration Intangible Results • Common understanding of the (potential) role of SI in the field
12:25-12:30	Joint conclusions of the morning session	

2.7.2 Policy Session: Key questions & expected results

Time	What	Expected Result
14:00-14:10	Short Introduction & set up of new working groups (4-8 participants)	Overview on issues of the session
14:10-15:30	Group discussion: • What current/future (policy) challenges for SI are we going to be confronted with in the policy field? (To what extent do they differ across European countries? Which ones are common?) • What are the challenges for Social Innovation? What are potential ambivalences, tensions, conflicts in 'upscaling SI'? • What are the key policy factors that may affect the development of SI in the field?	Tangible Results • List of current/future policy challenges • Grouping of challenges related to the dimensions of the morning (governance, economics, behaviour, collaboration) Intangible Results • Understanding of key policy influences on the dynamics of the future of SI
15:30-16:30	Group discussion: • How can SI help to address the challenges in the policy field? • What measures might help overcome barriers and reinforce drivers?	
16:30-17:30	Joint conclusions and recommendations—and research questions, for further, in-depth case studies in SI-DRIVE (WP4-10)	

3 FORESIGHT AND POLICY WORKSHOP REPORTS: INPUT FOR POLICY RECOMMENDATIONS

The results of the foresight & policy workshops will be integrated into the WP 4-10 reports, which will deal also with field-specific policy recommendations, and into the final report on policy recommendations in WP 11. To integrate the results, the workshop materials and results will be structured in a comparable form.

- To structure the input of the materials for the different workshops, WP 11 will provide a Power Point Template.

- To structure the overview of the outcomes of the individual workshops, WP 11 provides a template.

- After the first round of workshops a template for the assessment of the learnings at the first phase will be developed.

- These measures should ensure that the results of the workshops will be integrated into the policy recommendations.

WP 11 will integrate the results for cross-cutting analysis and setting the ground for the policy field overarching international Policy Round Tables (2015 in Vienna and 2017 in Dortmund).

4 ORGANISATION OF THE WORKSHOPS (SUPPORTED BY WP4-WP10)

- For each policy field (WP4-WP10): Foresight and Policy Workshops in September-November 2015 (proposal to call these both 'Workshop'—and to reserve 'Round Table' for bringing-together findings from the different policy fields)

- Workshops will typically take place in the country/city of the project partner that is coordinator of the policy field

    - WP4: Education, TUDO, Germany, 25 Sept, in Dortmund,

    - WP5: Employment, TNO, The Netherlands, 11 June in Leiden

    - WP6: Environment and Climate Change, AIT, Austria, 1 October in Vienna

    - WP7: Energy, TNO, The Netherlands, 15 October in Leiden

    - WP8: Mobility / Transport, IAT, Germany, 22 October in Gelsenkirchen

    - WP9: Health and Social Care, YF, UK, 30 September, in London

    - WP10: Poverty Reduction, UBRUN, 17 November in Vienna

- Beside SI-DRIVE internal representatives also external experts and policy makers for that specific domain will be invited (mainly for the second round of policy field workshops at the beginning of 2017).

A pilot workshop was done in June (Policy Field Employment, TNO) to try-out and to see how the format worked. The results were integrated in the format for the workshops, described in this report.